

# Pennsylvania Gaming Control Board

## PRINCIPAL ENTITY FORM

Cordish Family II, LLC

## INSTRUCTIONS

PENNSYLVANIA GAMING IS GOVERNED BY THE LAWS SET FORTH IN 4 PA.C.S. PART II, ENACTED BY THE ACT OF JULY 5, 2004 (P.L. 572, No. 71) THE PENNSYLVANIA RACE HORSE DEVELOPMENT AND GAMING ACT (ACT) AS AMENDED AND 58 PA. CODE PART VII, GAMING CONTROL BOARD (REGULATIONS).

AN APPLICATION THAT HAS BEEN ACCEPTED FOR FILING AND ALL RELATED MATERIALS SUBMITTED TO THE PENNSYLVANIA GAMING CONTROL BOARD ("BOARD") SHALL BECOME THE PROPERTY OF THE BOARD AND WILL NOT BE RETURNED TO THE APPLICANT.

UNLESS OTHERWISE PROVIDED FOR IN THE GAMING ACT AND REGULATIONS, EACH PRINCIPAL; PERSON WITH CONTROLLING INTEREST; INSTITUTIONAL INVESTOR AND FINANCIAL BACKER WHO IS AN ENTITY MUST COMPLETE THE PRINCIPAL ENTITY FORM OR THE PRINCIPAL WAIVER FORM - ENTITY.

**PRINCIPAL** - 4 PA.C.S. §1103 DEFINES PRINCIPAL AS "AN OFFICER; DIRECTOR; PERSON WHO DIRECTLY HOLDS A BENEFICIAL INTEREST IN OR OWNERSHIP OF THE SECURITIES OF AN APPLICANT OR LICENSEE; PERSON WHO HAS A CONTROLLING INTEREST IN AN APPLICANT OR LICENSEE, OR HAS THE ABILITY TO ELECT A MAJORITY OF THE BOARD OF DIRECTORS OF A LICENSEE OR TO OTHERWISE CONTROL A LICENSEE; LENDER OR OTHER LICENSED FINANCIAL INSTITUTION OF AN APPLICANT OR LICENSEE, OTHER THAN A BANK OR LENDING INSTITUTION WHICH MAKES A LOAN OR HOLDS A MORTGAGE OR OTHER LIEN ACQUIRED IN THE ORDINARY COURSE OF BUSINESS; UNDERWRITER OF AN APPLICANT OR LICENSEE; OR OTHER PERSON OR EMPLOYEE OF AN APPLICANT, SLOT MACHINE LICENSEE, MANUFACTURER LICENSEE OR SUPPLIER LICENSEE DEEMED TO BE A PRINCIPAL BY THE PENNSYLVANIA GAMING CONTROL BOARD."

**DIRECTOR** - BOARD REGULATIONS DEFINE DIRECTOR AS "A DIRECTOR OF A CORPORATION OR ANY PERSON PERFORMING SIMILAR FUNCTIONS WITH RESPECT TO AN ENTITY, WHETHER INCORPORATED OR UNINCORPORATED."

**OFFICER** - BOARD REGULATIONS DEFINE OFFICER AS "A PRESIDENT, CHIEF EXECUTIVE OFFICER, CHIEF OPERATING OFFICER, SECRETARY, TREASURER, PRINCIPAL LEGAL OFFICER, PRINCIPAL COMPLIANCE OFFICER, PRINCIPAL FINANCIAL OFFICER, COMPTROLLER, PRINCIPAL ACCOUNTING OFFICER, CHIEF ENGINEER OR TECHNICAL OFFICER OF A MANUFACTURER, OR PRINCIPAL SLOT OPERATIONS OFFICER OF A SLOT MACHINE LICENSEE AND ANY PERSON ROUTINELY PERFORMING CORRESPONDING FUNCTIONS WITH RESPECT TO AN ENTITY WHETHER INCORPORATED OR UNINCORPORATED."

**KEY EMPLOYEE** - 58 PA. CODE §401A.3 DEFINES KEY EMPLOYEE AS "ANY INDIVIDUAL WHO IS: (I) EMPLOYED IN A DIRECTOR OR DEPARTMENT HEAD CAPACITY AND WHO IS EMPOWERED TO MAKE DISCRETIONARY DECISIONS THAT REGULATE SLOT MACHINE OPERATIONS IN THIS COMMONWEALTH, INCLUDING THE GENERAL MANAGER AND ASSISTANT MANAGER OF THE LICENSED FACILITY, DIRECTOR OF SLOT OPERATIONS, DIRECTOR OF CAGE AND CREDIT OPERATIONS, DIRECTOR OF SURVEILLANCE, DIRECTOR OF MARKETING, DIRECTOR OF MANAGEMENT INFORMATION SYSTEMS, DIRECTOR OF SECURITY, COMPTROLLER AND ANY EMPLOYEE WHO SUPERVISES THE OPERATIONS OF THESE DEPARTMENTS OR TO WHOM THESE DEPARTMENT DIRECTORS OR DEPARTMENT HEADS REPORT; (II) EMPLOYED BY A SLOT MACHINE LICENSEE OR APPLICANT, MANUFACTURER LICENSEE OR APPLICANT, SUPPLIER LICENSEE OR APPLICANT, CERTIFIED VENDOR OR APPLICANT OR LICENSED JUNKET ENTERPRISE WHOSE DUTIES AFFECT OR REQUIRE CONTACT WITH SLOT MACHINES, SLOT MONITORING SYSTEMS, CASINO MANAGEMENT SYSTEMS, PLAYER TRACKING SYSTEMS AND WIDE- AREA PROGRESSIVE SYSTEMS FOR USE OR PLAY IN THE COMMONWEALTH, WHETHER OR NOT THE INDIVIDUAL IS ASSIGNED TO GAMING OPERATIONS IN THE COMMONWEALTH AND (III) OTHER POSITIONS WHICH THE BOARD WILL DETERMINE BASED ON DETAILED ANALYSES OF JOB DESCRIPTIONS AS PROVIDED IN THE INTERNAL CONTROLS OF THE APPLICANT OR LICENSEE AS APPROVED BY THE BOARD UNDER §1322(C) OF THE ACT (RELATING TO SLOT MACHINE ACCOUNTING CONTROLS AND AUDITS). ALL OTHER GAMING EMPLOYEES UNLESS OTHERWISE DESIGNATED BY THE BOARD, WILL BE CLASSIFIED AS NON-KEY EMPLOYEES."

**CONTROLLING INTEREST** - 4 PA.C.S. §1103 DEFINES CONTROLLING INTEREST AS "FOR A PUBLICLY TRADED DOMESTIC OR FOREIGN CORPORATION, A CONTROLLING INTEREST IS AN INTEREST IN A LEGAL ENTITY, APPLICANT OR LICENSEE IF A PERSON'S SOLE VOTING RIGHTS UNDER STATE LAW OR CORPORATE OR CORPORATE ARTICLES

OR BYLAWS ENTITLE THE PERSON TO ELECT OR APPOINT ONE OR MORE OF THE MEMBERS OF THE BOARD OF DIRECTORS OR OTHER GOVERNING BOARD OR THE OWNERSHIP OR BENEFICIAL HOLDING OF 5% OR MORE OF THE SECURITIES OF THE PUBLICLY TRADED CORPORATION, PARTNERSHIP, LIMITED LIABILITY COMPANY OR OTHER FORM OF PUBLICLY TRADED LEGAL ENTITY, UNLESS THIS PRESUMPTION OF CONTROL OR ABILITY TO ELECT IS REBUTTED BY CLEAR AND CONVINCING EVIDENCE. FOR A PRIVATELY HELD DOMESTIC OR FOREIGN CORPORATION, PARTNERSHIP, LIMITED LIABILITY COMPANY OR OTHER FORM OF PRIVATELY HELD LEGAL ENTITY, A CONTROLLING INTEREST IS THE HOLDING OF SECURITIES IN THE LEGAL ENTITY, UNLESS THIS PRESUMPTION OF CONTROL IS REBUTTED BY CLEAR AND CONVINCING EVIDENCE."

**INDIRECT OWNERSHIP INTEREST** - BOARD REGULATIONS DEFINE INDIRECT OWNERSHIP INTEREST AS "AN OWNERSHIP INTEREST IN AN ENTITY THAT HAS A DIRECT OWNERSHIP INTEREST IN AN APPLICANT OR LICENSEE, OR A DIRECT OWNERSHIP INTEREST IN AN ENTITY THAT HAS AN OWNERSHIP INTEREST IN AN APPLICANT OR LICENSEE THROUGH ONE OR MORE INTERVENING ENTITIES."

**PUBLICLY TRADED CORPORATION** - 4 PA. CODE §1103 DEFINES PUBLICLY TRADED CORPORATION AS "A PERSON, OTHER THAN AN INDIVIDUAL, WHICH:

- (1) HAS A CLASS OR SERIES OF SECURITIES REGISTERED UNDER THE SECURITIES EXCHANGE ACT OF 1934 (48 STAT. 881, 15 U.S.C. 78A ET SEQ.);
- (2) IS A REGISTERED MANAGEMENT COMPANY UNDER THE INVESTMENT COMPANY ACT OF 1940 (54 STAT. 789, 15 U.S.C. 80A-1 ET SEQ.); OR
- (3) IS SUBJECT TO THE REPORTING OBLIGATIONS IMPOSED BY SECTION 15(D) OF THE SECURITIES EXCHANGE ACT OF 1934 BY REASON OF HAVING FILED A REGISTRATION STATEMENT WHICH HAS BECOME EFFECTIVE UNDER THE SECURITIES ACT OF 1933 (48 STAT. 74, 15 U.S.C. 77A ET SEQ.)."

**ENTITY** - BOARD REGULATIONS DEFINE ENTITY AS "A PERSON, OTHER THAN AN INDIVIDUAL."

**INDIVIDUAL** - BOARD REGULATIONS DEFINE AN INDIVIDUAL AS "A NATURAL PERSON."

**UNDERWRITER** - 4 PA. CODE § 1103 DEFINES UNDERWRITER "AS DEFINED IN THE ACT OF DECEMBER 5, 1972 (P.L. 1280, NO. 284), KNOWN AS THE PENNSYLVANIA SECURITIES ACT OF 1972."

**INSTITUTIONAL INVESTOR** - 58 PA. CODE §401A.3 DEFINES AN INSTITUTIONAL INVESTOR AS "A RETIREMENT FUND ADMINISTERED BY A PUBLIC AGENCY FOR THE EXCLUSIVE BENEFIT OF FEDERAL, STATE OR LOCAL PUBLIC EMPLOYEES, INVESTMENT COMPANY REGISTERED UNDER THE INVESTMENT COMPANY ACT OF 1940 (15 U.S.C.A. §§ 80A-1 - 80A-64), COLLECTIVE INVESTMENT TRUST ORGANIZED BY BANKS UNDER PART NINE OF THE RULES OF THE COMPTROLLER OF THE CURRENCY, CLOSED END INVESTMENT TRUST, CHARTERED OR LICENSED LIFE INSURANCE COMPANY OR PROPERTY AND CASUALTY INSURANCE COMPANY, BANKING AND OTHER CHARTERED OR LICENSED LENDING INSTITUTION, INVESTMENT ADVISOR REGISTERED UNDER THE INVESTMENT ADVISORS ACT OF 1940 (15 U.S.C.A. §§ 80B-1 - 80B-21), AND SUCH OTHER PERSONS AS THE BOARD MAY DETERMINE CONSISTENT WITH THIS PART."

**PRIVATE INVESTMENT FUND** - BOARD REGULATIONS DEFINE PRIVATE INVESTMENT FUND AS "AN ENTITY THAT MEETS THE DEFINITION OF "INVESTMENT COMPANY" UNDER SECTION 3(A)(1) OF THE INVESTMENT COMPANY ACT OF 1940 (15 U.S.C. 80A-3(A)(1)), BUT IS OTHERWISE EXEMPT FROM THE DEFINITION OF "INVESTMENT COMPANY" UNDER SECTION 3(C)(7) OF THE INVESTMENT COMPANY ACT OF 1940 (15 U.S.C. 80A-3 (C)(7))."

**REGISTERED INVESTMENT COMPANY** - BOARD REGULATIONS DEFINE A REGISTERED INVESTMENT COMPANY AS "AN INVESTMENT COMPANY THAT HAS REGISTERED WITH THE SEC PURSUANT TO THE INVESTMENT COMPANY ACT OF 1940."

**REGISTERED INVESTMENT ADVISER** – BOARD REGULATIONS DEFINE REGISTERED INVESTMENT ADVISER AS "AN INVESTMENT ADVISER THAT HAS REGISTERED WITH THE SEC PURSUANT TO THE INVESTMENT ADVISERS ACT OF 1940."

**LENDING INSTITUTION** – BOARD REGULATIONS DEFINE A LENDING INSTITUTION AS "A PERSON WHO HAS BEEN ISSUED A LICENSE TO LEND MONEY BY A STATE OR FEDERAL AGENCY OR A PERSON WHO SATISFIES THE DEFINITION OF "QUALIFIED INSTITUTIONAL BUYER" PURSUANT TO 17 C.F.R. § 230.144A."

**AFFILIATE, AFFILIATE OF OR PERSON AFFILIATED WITH** - 4 PA.C.S. § 1103 DEFINES AFFILIATE, AFFILIATE OF OR PERSON AFFILIATED WITH AS "A PERSON THAT DIRECTLY OR INDIRECTLY, THROUGH ONE OR MORE INTERMEDIARIES, CONTROLS, IS CONTROLLED BY OR IS UNDER COMMON CONTROL WITH A SPECIFIED PERSON."

**PRINCIPAL AFFILIATE** – BOARD REGULATIONS DEFINE PRINCIPAL AFFILIATE AS "AN INTERMEDIARY OR HOLDING COMPANY OF AN APPLICANT OR LICENSEE."

**PRINCIPAL ENTITY** – BOARD REGULATIONS DEFINE PRINCIPAL ENTITY AS "AN ENTITY THAT MEETS THE DEFINITION OF PRINCIPAL IN SECTION 1103 OF THE ACT (RELATING TO DEFINITIONS) OR IS OTHERWISE REQUIRED TO BE LICENSED AS A PRINCIPAL AND IS NOT AN INTERMEDIARY OR HOLDING COMPANY OF AN APPLICANT OR LICENSEE."

**SUBSIDIARY** – 4 PA.C.S. § 1103 DEFINES SUBSIDIARY AS "A PERSON OTHER THAN AN INDIVIDUAL. THE TERM INCLUDES:

- (1) A CORPORATION, ANY SIGNIFICANT PART OF WHOSE OUTSTANDING EQUITY SECURITIES ARE OWNED, SUBJECT TO A POWER OR RIGHT OF CONTROL, OR HELD WITH POWER TO VOTE, BY A HOLDING COMPANY OR AN INTERMEDIARY COMPANY;
- (2) A SIGNIFICANT INTEREST IN A PERSON, OTHER THAN AN INDIVIDUAL, WHICH IS OWNED, SUBJECT TO A POWER OR RIGHT OF CONTROL, OR HELD WITH POWER TO VOTE, BY A HOLDING COMPANY OR AN INTERMEDIARY COMPANY; OR
- (3) A PERSON DEEMED TO BE A SUBSIDIARY BY THE PENNSYLVANIA GAMING CONTROL BOARD."

**INTERMEDIARY** – 4 PA.C.S. § 1103 DEFINES INTERMEDIARY AS "A PERSON, OTHER THAN AN INDIVIDUAL, WHICH:

- (1) IS A HOLDING COMPANY WITH RESPECT TO A CORPORATION OR OTHER FORM OF BUSINESS ORGANIZATION, WHICH HOLDS OR APPLIES FOR A LICENSE UNDER THIS PART; AND
- (2) IS A SUBSIDIARY WITH RESPECT TO ANY HOLDING COMPANY."

**HOLDING COMPANY** – BOARD REGULATIONS DEFINE HOLDING COMPANY AS "A PERSON, OTHER THAN AN INDIVIDUAL, WHICH, DIRECTLY OR INDIRECTLY, OWNS, HAS THE POWER OR RIGHT TO CONTROL OR HAS THE POWER OR RIGHT TO VOTE 20% OR MORE OF THE OUTSTANDING VOTING SECURITIES OF A CORPORATION OR OTHER ENTITY. A HOLDING COMPANY INDIRECTLY HAS, HOLDS OR OWNS ANY SUCH POWER, RIGHT OR SECURITY IF IT DOES SO THROUGH AN INTEREST IN A SUBSIDIARY OR SUCCESSIVE SUBSIDIARIES."

**VOTING SECURITY** – BOARD REGULATIONS DEFINE VOTING SECURITY AS "A SECURITY OR OTHER INTEREST WHICH ENTITLES THE OWNER TO VOTE FOR THE ELECTION OF:

- (i) A DIRECTOR OF A CORPORATION.
- (ii) A PERSON PERFORMING FUNCTIONS SIMILAR TO A DIRECTOR WITH RESPECT TO AN ORGANIZATION WHETHER INCORPORATED OR UNINCORPORATED."

**FINANCIAL BACKER** – 4 PA.C.S. § 1103 DEFINES FINANCIAL BACKER AS "AN INVESTOR, MORTGAGEE, BONDHOLDER, NOTE HOLDER OR OTHER SOURCES OF EQUITY OR CAPITAL PROVIDED TO AN APPLICANT OR LICENSED ENTITY."

UNLESS OTHERWISE PROVIDED FOR IN THE GAMING ACT AND REGULATIONS, EACH PRINCIPAL; KEY EMPLOYEE; PERSON WITH CONTROLLING INTEREST; INSTITUTIONAL INVESTOR; UNDERWRITER AND FINANCIAL BACKER WHO IS A NATURAL PERSON MUST COMPLETE A MULTI-JURISDICTIONAL PHD AND PA SUPPLEMENT OR A PRINCIPAL/KEY EMPLOYEE WAIVER FORM. EACH PRINCIPAL; PERSON WITH CONTROLLING INTEREST; INSTITUTIONAL INVESTOR; UNDERWRITER AND FINANCIAL BACKER THAT IS AN ENTITY MUST COMPLETE A PRINCIPAL ENTITY FORM OR A PRINCIPAL WAIVER FORM - ENTITY.

THE ORIGINAL FORM, ONE PAPER COPY, AND ONE (1) COMPACT DISC (CD) CONTAINING ALL FORMS MUST BE SENT TO THE PENNSYLVANIA GAMING CONTROL BOARD, BUREAU OF LICENSING, 303 WALNUT STREET, FIFTH FLOOR, VERIZON TOWER, HARRISBURG, PENNSYLVANIA 17101 WITH THE APPROPRIATE FEE. PLEASE REFER TO THE LICENSING SECTION OF THE BOARD'S WEBSITE FOR CD FORMATTING REQUIREMENTS.

## 1. APPLICATION FEES

APPLICATION FEES MUST BE SUBMITTED WITH THE APPLICATION. THESE FEES ARE NON-REFUNDABLE DEPOSITS THAT WILL BE USED BY THE BOARD TO PROCESS AND INVESTIGATE THE ENTITY FILING THE FORM.

THERE MAY BE ADDITIONAL COSTS AND EXPENSES INCURRED BY THE BOARD IN ITS PROCESSING AND INVESTIGATION OF THE ENTITY FILING THE FORM, WHICH MUST BE REIMBURSED TO THE BOARD.

FEES SHALL BE PAID BY MONEY ORDER OR CHECK MADE PAYABLE TO THE "PENNSYLVANIA GAMING CONTROL BOARD." CASH WILL NOT BE ACCEPTED BY THE BOARD.

PRINCIPAL ENTITY ..... \$2,500.00

## 2. APPLICATION FORM INSTRUCTIONS

### A. GENERALLY

AS USED IN THE PRINCIPAL ENTITY FORM, THE WORDS "APPLICANT" AND "YOU" SHALL MEAN THE PRINCIPAL COMPLETING THIS PRINCIPAL ENTITY FORM.

AS USED IN THE PRINCIPAL ENTITY FORM, THE WORDS "BUSINESS ENTITY" SHALL MEAN THE MANUFACTURER, MANUFACTURER'S DESIGNEE, SUPPLIER, MANAGEMENT COMPANY, JUNKET ENTERPRISE OR SLOT MACHINE APPLICANT OR LICENSEE OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES FOR WHICH YOU ARE A PRINCIPAL.

ALL ENTRIES ON THE FORM MUST BE TYPED OR PRINTED IN BLOCK LETTERING. INITIALS AND SIGNATURES MUST BE HANDWRITTEN BY THE PERSON PROVIDING THE INFORMATION. IF THE ANSWERS ARE NOT LEGIBLE, THE APPLICATION MAY NOT BE ACCEPTED.

READ EACH QUESTION CAREFULLY PRIOR TO ANSWERING. ANSWER EVERY QUESTION COMPLETELY. DO NOT LEAVE BLANK SPACES. IF A QUESTION, SCHEDULE OR APPENDIX DOES NOT APPLY TO THE APPLICANT, WRITE "DOES NOT APPLY" IN RESPONSE TO THAT QUESTION, SCHEDULE OR APPENDIX.

ALL PAGES OF THE FORM MUST BE INITIALED BY THE APPLICANT. IF ADDITIONAL PAGES ARE REQUIRED IN ORDER TO ANSWER ANY QUESTION, ADDITIONAL PAGES MAY BE UTILIZED AND MUST BE ATTACHED TO THE FORM. BE SURE TO INDICATE THE NUMBER(S) OF THE QUESTION(S) BEING ANSWERED AND INITIAL EACH ADDITIONAL PAGE. SOME SCHEDULES MAY REQUIRE DISCLOSURE OF INFORMATION FOR MORE THAN ONE NATURAL PERSON OR ENTITY OR TYPE OF INFORMATION. IF THERE ARE MULTIPLE DISCLOSURES, MAKE ENOUGH ADDITIONAL COPIES OF THE BLANK SCHEDULE AND COMPLETE IT FOR EACH NATURAL PERSON OR ENTITY OR TYPE OF INFORMATION.

ALL REQUIRED DOCUMENTATION, SUCH AS BUSINESS FORMATION PAPERS, TAX RETURNS AND APPENDICES, MUST BE SUBMITTED AT THE TIME OF FILING THIS FORM.

THE APPLICATION FOR PENNSYLVANIA TAX CLEARANCE REVIEW MUST BE SIGNED BY APPLICANT.

ALL AFFIDAVITS, RELEASE AUTHORIZATIONS, AFFIRMATIONS, CERTIFICATIONS AND WAIVERS OF LIABILITY MUST BE SIGNED BY APPLICANT AND NOTARIZED. THE LICENSEE'S AFFIRMATION MUST BE SIGNED BY THE CEO OF THE BUSINESS ENTITY FOR WHICH APPLICANT IS A PRINCIPAL.

SHOULD YOU BE UNABLE TO UNDERSTAND THIS FORM FULLY IN ENGLISH, IT IS YOUR RESPONSIBILITY TO ACQUIRE ADEQUATE MEANS OF TRANSLATION. IF YOU SUBMIT A DOCUMENT TO THE BOARD THAT IS IN A LANGUAGE OTHER THAN ENGLISH, YOU MUST ALSO SUBMIT AN ENGLISH TRANSLATION COMPLIANT WITH BOARD REGULATIONS.

ALL NOTICES REGARDING YOUR APPLICATION WILL BE SENT TO THE ADDRESS YOU PROVIDE ON THIS FORM. YOU MUST IMMEDIATELY NOTIFY THE BOARD IF YOU CHANGE YOUR ADDRESS.

FAILURE TO ANSWER ANY QUESTION COMPLETELY AND TRUTHFULLY WILL RESULT IN DENIAL OF YOUR APPLICATION AND/OR REVOCATION OF YOUR LICENSE, REGISTRATION, CERTIFICATE OR PERMIT AND MAY SUBJECT YOU TO CRIMINAL PENALTIES UNDER 18 PA. C. S. A. §4903.

ANY PERSON WHO APPLIES FOR AND OBTAINS A LICENSE, REGISTRATION, CERTIFICATE OR PERMIT FROM THE BOARD MAY BE REQUIRED TO SUBMIT TO WARRANTLESS SEARCHES WHEN PRESENT IN A LICENSED GAMING FACILITY PURSUANT TO THE ACT.

CONFIDENTIAL INFORMATION (AS DEFINED IN 58 PA. CODE §401A.3) SUPPLIED TO THE BOARD OR OTHERWISE OBTAINED SHALL NOT BE REVEALED EXCEPT IN THE COURSE OF THE NECESSARY ADMINISTRATION OF THE ACT, OR UPON THE LAWFUL ORDER OF A COURT OF COMPETENT JURISDICTION OR, WITH THE APPROVAL OF THE ATTORNEY GENERAL, TO A DULY AUTHORIZED LAW ENFORCEMENT AGENCY. AN APPLICANT OR LICENSE, REGISTRATION, CERTIFICATE OR PERMIT HOLDER WAIVES ANY LIABILITY OF THE COMMONWEALTH OF PENNSYLVANIA AND ITS INSTRUMENTALITIES AND AGENTS FOR ANY DAMAGES RESULTING FROM ANY DISCLOSURE OR PUBLICATION IN ANY MANNER, OTHER THAN A WILLFULLY UNLAWFUL DISCLOSURE OR PUBLICATION.

PURSUANT TO BOARD REGULATIONS, ONCE THE APPLICATION HAS BEEN FILED, APPLICANT MAY NOT WITHDRAW ITS APPLICATION WITHOUT THE PERMISSION OF THE BOARD.

ALL REQUIRED DOCUMENTATION MUST BE SUBMITTED AT THE TIME OF FILING THIS FORM. FURTHER, PURSUANT TO BOARD REGULATIONS, THE APPLICANT IS UNDER A CONTINUING DUTY TO PROMPTLY NOTIFY THE BOARD IF THERE IS A CHANGE IN THE INFORMATION PROVIDED TO THE BOARD.

A LICENSE, PERMIT, CERTIFICATION OR REGISTRATION ISSUANCE, RENEWAL OR OTHER APPROVAL ISSUED BY THE BOARD IS A REVOCABLE PRIVILEGE. NO PERSON HOLDING A LICENSE, PERMIT, CERTIFICATION OR REGISTRATION, RENEWAL, OR OTHER APPROVAL IS DEEMED TO HAVE ANY PROPERTY RIGHTS RELATED TO THE LICENSE, PERMIT, CERTIFICATION OR REGISTRATION.

**B. PRINCIPAL ENTITY FORM**

UNLESS OTHERWISE PROVIDED FOR IN THE GAMING ACT AND REGULATIONS, EACH PRINCIPAL; PERSON WITH CONTROLLING INTEREST, INSTITUTIONAL INVESTOR AND FINANCIAL BACKER THAT IS AN ENTITY MUST COMPLETE THE PRINCIPAL ENTITY FORM.

APPLICANT IS SUBMITTING THIS PRINCIPAL ENTITY FORM BECAUSE IT IS A PRINCIPAL OF  
Cordish Family II, LLC

DESCRIBE THE RELATIONSHIP BETWEEN APPLICANT AND BUSINESS ENTITY NAMED ABOVE, INCLUDING AMOUNTS AND TERMS OF OWNERSHIP AND CONTROL.

Cordish Family II, LLC is a family investment entity owned by the Cordish  
family of Baltimore, Maryland, and managed by Jonathan A. Cordish.  
Cordish Family II, LLC has issued lines of credit in the amount of \$50 million  
each to three owner of Stadium Casino Baltimore Investors, LLC: Jonathan A. Cordish,  
Blake L. Cordish, and Reed S. Cordish.

Stadium Casino Baltimore Investors, LLC owns a 50% membership interest in Stadium  
Casino, LLC. Stadium Casino, LLC is an applicant for the second Category 2  
slots license in the City of Philadelphia, on the site of the existing Stadium Holiday Inn at 900  
Packer Avenue.

IF YOU HAVE ANY QUESTIONS REGARDING THE APPLICATION PACKAGE FORMS OR THE INFORMATION REQUIRED TO COMPLETE ANY APPLICATION, PLEASE CONTACT THE PENNSYLVANIA GAMING CONTROL BOARD - THE BUREAU OF LICENSING AT (717) 346-8300.

# **APPLICANT INFORMATION**


<b>APPLICANT'S BUSINESS NAME</b>			
BUSINESS NAME AS IT APPEARS ON APPLICANT'S CERTIFICATE OF INCORPORATION, CHARTER, BYLAWS, PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENTS (SPELL OUT COMPLETE NAME, DO NOT USE ABBREVIATIONS)			
CORDISH FAMILY II, LLC			
<b>TRADE NAME(S) AND DOING BUSINESS AS ("DBA") NAMES</b>			
IS THE APPLICANT A MINORITY OR WOMEN'S BUSINESS ENTERPRISE THAT IS CERTIFIED BY THE BUREAU OF MINORITY AND WOMEN'S BUSINESS ENTERPRISE OF THE DEPARTMENT OF GENERAL SERVICES? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
IF YES, PROVIDE CERTIFICATION NUMBER _____			
<b>APPLICANT'S PRINCIPAL ADDRESS</b>			
ADDRESS LINE 1 601 E PRATT ST.			
ADDRESS LINE 2 .6TH FLOOR			
ADDRESS LINE 3			
CITY BALTIMORE		STATE/PROVINCE MD	POSTAL CODE 21202
COUNTRY USA		EMAIL ADDRESS JON@CORDISH.COM	
COUNTY BALTIMORE CITY	TOWNSHIP		WEB URL
PHONE NUMBER (410) 752-5444		FAX NUMBER (410) 659-9491	
<b>APPLICANT'S ADDRESS IN PENNSYLVANIA (IF APPLICABLE)</b>			
ADDRESS LINE 1			
ADDRESS LINE 2			
ADDRESS LINE 3			
CITY		STATE/PROVINCE	POSTAL CODE
COUNTRY		EMAIL ADDRESS	
COUNTY	TOWNSHIP		WEB URL
PHONE NUMBER ( )		FAX NUMBER ( )	
<b>CONTACT NAME FOR THIS APPLICATION</b>			
FIRST NAME JONATHAN	MIDDLE NAME ASHLEY	LAST NAME CORDISH	SUFFIX (JR., SR., ETC.)
TITLE MEMBER		INDIVIDUAL EMAIL ADDRESS	
PHONE NUMBER		FAX NUMBER	
<b>APPLICANT'S FORM OF ORGANIZATION</b>			
CHECK ONE			
<input type="checkbox"/> SOLE PROPRIETORSHIP	<input type="checkbox"/> PARTNERSHIP	<input type="checkbox"/> LIMITED PARTNERSHIP	<input type="checkbox"/> C-CORPORATION
<input checked="" type="checkbox"/> LIMITED LIABILITY COMPANY	<input type="checkbox"/> S-CORPORATION	<input type="checkbox"/> TRUST	
<input type="checkbox"/> OTHER (DESCRIBE) _____			




APPLICANT'S ORGANIZATION DOCUMENTS	
STATE OF INCORPORATION, REGISTRATION OR OTHER TYPE OF FORMATION ALASKA	DATE OF FORMATION 6/6/2001
APPLICANT'S BUSINESS NAME AS IT APPEARS ON THE FORMATION DOCUMENTS CORDISH FAMILY II, LLC	
LIST ALL STATES IN WHICH THE APPLICANT IS CURRENTLY REGISTERED OR AUTHORIZED TO DO BUSINESS ALASKA, MARYLAND	
IS APPLICANT REGISTERED OR AUTHORIZED TO DO BUSINESS IN THE COMMONWEALTH OF PENNSYLVANIA? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
APPLICANT'S IDENTIFICATION NUMBERS	
FEDERAL EMPLOYER IDENTIFICATION NUMBER/TIN [REDACTED]	PA UNEMPLOYMENT COMPENSATION ACCOUNT NUMBER [REDACTED]
PA DEPARTMENT OF REVENUE CORPORATE BOX NUMBER [REDACTED]	PA LIQUOR CONTROL BOARD LICENSE NUMBER [REDACTED]
PA WORKERS COMPENSATION POLICY NUMBER [REDACTED]	PA DEPARTMENT OF STATE - ENTITY NUMBER [REDACTED]
DOES THE APPLICANT HAVE ANY OUTSTANDING TAX LIABILITIES TO EITHER THE COMMONWEALTH OF PENNSYLVANIA OR ANY OTHER STATE OR THE FEDERAL GOVERNMENT? [REDACTED]	
IF YOU ANSWER YES, PROVIDE DETAILS CONCERNING ALL OUTSTANDING TAX LIABILITIES.	
CRIMINAL HISTORY	
THE NEXT SECTION ASKS ABOUT ANY OFFENSES OR CHARGES APPLICANT OR ANY OF ITS OFFICERS, DIRECTORS/PARTNERS OR TRUSTEES MAY HAVE COMMITTED OR HAD FILED AGAINST THEM. PRIOR TO ANSWERING THIS QUESTION, CAREFULLY REVIEW THE DEFINITIONS AND INSTRUCTIONS THAT FOLLOW.	
DEFINITIONS	<p>FOR PURPOSES OF THIS SECTION:</p> <p>A. "CRIME OR OFFENSE" INCLUDES ALL FELONIES AND MISDEMEANORS, AS WELL AS SUMMARY OFFENSES THAT MAY HAVE REQUIRED YOU TO APPEAR BEFORE A LAW ENFORCEMENT AGENCY, STATE OR FEDERAL GRAND JURY, JUSTICE COURT, MUNICIPAL COURT, CITY COURT, TRAFFIC COURT, MILITARY COURT OR ANY OTHER COURT EXCEPT JUVENILE COURT. INCLUDE ALL DUI/DWI OFFENSES.</p> <p>B. "ARREST" INCLUDES ANY TIME THAT YOU WERE STOPPED BY A POLICE OFFICER OR OTHER LAW ENFORCEMENT OFFICER AND ADVISED THAT YOU WERE UNDER ARREST, DETAINED, HELD FOR QUESTIONING, REQUESTED BY A POLICE OFFICER OR LAW ENFORCEMENT OFFICER TO COME TO A POLICE STATION AND ANSWER QUESTIONS, TAKEN INTO CUSTODY BY ANY POLICE OFFICER OR OTHER LAW ENFORCEMENT OFFICER, FINGERPRINTED, HELD IN JAIL, OR INSTRUCTED TO APPEAR IN COURT OR SUBPOENAED TO ANSWER FOR CONDUCT WHICH IS A CRIME AS HAS BEEN DEFINED IN PARAGRAPH "A."</p> <p>C. "CHARGE" INCLUDES ANY INDICTMENT, COMPLAINT, INFORMATION, SUMMONS, CITATION OR OTHER NOTICE OF THE ALLEGED COMMISSION OF ANY CRIME OR OFFENSE AS DEFINED IN PARAGRAPH "A."</p>
INSTRUCTIONS	<p>1. ANSWER "YES" AND PROVIDE ALL INFORMATION TO THE BEST OF YOUR ABILITY EVEN IF:</p> <p>A. YOU DID NOT COMMIT THE OFFENSE CHARGED;</p> <p>B. THE ARREST OR CHARGES WERE DISMISSED OR THE CHARGES WERE SUBSEQUENTLY DOWNGRADED TO A LESSER CHARGE;</p> <p>C. YOU PLEADED NOT GUILTY OR NOLO CONTENDERE;</p>

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	<p>D. YOU COMPLETED AN ACCELERATED REHABILITATIVE DISPOSITION ("ARD") OR EQUIVALENT DIVERSIONARY PROGRAM;</p> <p>E. THE CHARGES OR CONVICTION WERE EXPUNGED FROM YOUR RECORD, EVEN IF YOU HAVE EXPUNGEMENT PAPERS;</p> <p>F. YOU WERE NOT CONVICTED OR WERE FOUND "NOT GUILTY";</p> <p>G. YOU DID NOT SERVE ANY TIME IN PRISON OR JAIL;</p> <p>H. THE ARRESTS, CHARGES OR OFFENSES HAPPENED A LONG TIME AGO;</p> <p>I. YOU WERE ARRESTED OR CHARGED IN ANOTHER STATE (A STATE OTHER THAN PENNSYLVANIA);</p> <p>J. YOU WERE NEVER PHYSICALLY TAKEN INTO CUSTODY AND/OR TRANSPORTED TO A POLICE STATION OR JAIL.</p> <p>2. ANSWER "NO" IF:</p> <p>A. YOU HAVE NEVER BEEN ARRESTED OR CHARGED WITH ANY CRIME OR OFFENSE;</p> <p>B. YOUR ARREST HAPPENED WHEN YOU WERE UNDER 18 YEARS OF AGE AND YOUR COURT APPEARANCE WAS IN JUVENILE COURT.</p> <p><b>FAILURE TO FULLY ANSWER THIS QUESTION MAY RESULT IN THE DENIAL OF YOUR APPLICATION.</b></p>
<p>1. HAS APPLICANT OR ANY OF ITS OFFICERS, DIRECTORS/PARTNERS OR TRUSTEES EVER BEEN INDICTED, CHARGED WITH OR CONVICTED OF A CRIMINAL OFFENSE OR BEEN A PARTY TO OR NAMED AS AN UNINDICTED CO-CONSPIRATOR IN ANY CRIMINAL PROCEEDING IN THE COMMONWEALTH OR ANY OTHER JURISDICTION?</p> <p>IF YOU ANSWER YES, YOU MUST COMPLETE <u>SCHEDULE 6</u> CONCERNING CRIMINAL HISTORY.</p>	<p><input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>
<p><b>TESTIMONY, INVESTIGATIONS OR POLYGRAPHS</b></p>	
<p>2. HAS APPLICANT OR ANY OF ITS OFFICERS, DIRECTORS/PARTNERS OR TRUSTEES EVER BEEN CALLED TO TESTIFY BEFORE, BEEN THE SUBJECT OF AN INVESTIGATION CONDUCTED BY, OR REQUESTED TO TAKE A POLYGRAPH EXAM BY ANY GOVERNMENTAL AGENCY, COURT, COMMITTEE, GRAND JURY OR INVESTIGATORY BODY (MUNICIPAL, STATE, COUNTY, PROVINCIAL, FEDERAL, NATIONAL, ETC.) OTHER THAN IN RESPONSE TO MINOR TRAFFIC RELATED OFFENSES?</p> <p>IF YOU ANSWER YES, YOU MUST COMPLETE <u>SCHEDULE 7</u> CONCERNING TESTIMONY, INVESTIGATIONS OR POLYGRAPHS.</p>	<p>[REDACTED]</p>
<p><b>ANTITRUST, TRADE REGULATION &amp; SECURITIES JUDGMENTS, STATUTORY AND REGULATORY VIOLATIONS</b></p>	
<p>3. HAS APPLICANT, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES EVER HAD A JUDGMENT, ORDER, CONSENT DECREE OR CONSENT ORDER PERTAINING TO A VIOLATION OR ALLEGED VIOLATION OF THE FEDERAL ANTITRUST, TRADE REGULATION OR SECURITIES LAWS, OR SIMILAR LAWS OF ANY STATE, PROVINCE OR COUNTRY ENTERED AGAINST IT?</p>	<p><input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>
<p>4. IN THE PAST TEN (10) YEARS, HAS APPLICANT, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES HAD A JUDGMENT, ORDER, CONSENT DECREE OR CONSENT ORDER PERTAINING TO ANY STATE OR FEDERAL STATUTE, REGULATION OR CODE THAT RESULTED IN A FINE OR PENALTY OF \$50,000 OR MORE ENTERED AGAINST IT?</p> <p>IF YOU ANSWER YES TO EITHER QUESTION, YOU MUST COMPLETE <u>SCHEDULE 9</u> CONCERNING ANTITRUST, TRADE REGULATION &amp; SECURITY JUDGMENTS; STATUTORY AND REGULATORY VIOLATIONS.</p>	<p><input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>

BANKRUPTCY OR INSOLVENCY PROCEEDINGS	
5. HAS APPLICANT, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES HAD ANY PETITION UNDER ANY PROVISION OF THE FEDERAL BANKRUPTCY CODE OR UNDER ANY STATE INSOLVENCY LAW FILED BY OR AGAINST IT IN THE LAST TEN (10) YEAR PERIOD?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
6. HAS APPLICANT, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES, OR HOLDING COMPANIES SOUGHT RELIEF UNDER ANY PROVISION OF THE FEDERAL BANKRUPTCY CODE OR UNDER ANY STATE INSOLVENCY LAW IN THE LAST TEN (10) YEAR PERIOD?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
7. HAS A COURT APPOINTED ANY RECEIVER, FISCAL AGENT, TRUSTEE, REORGANIZATION TRUSTEE, OR SIMILAR OFFICER FOR APPLICANT, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES IN THE LAST TEN (10) YEARS?  IF YOU ANSWER YES TO ANY OF THESE QUESTIONS, YOU MUST COMPLETE <b>SCHEDULE 10</b> CONCERNING BANKRUPTCY OR INSOLVENCY PROCEEDINGS.	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
APPLICANT'S LICENSES AND PERMITS	
8. HAS APPLICANT, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES APPLIED FOR ANY LICENSE OR PERMIT BY A GOVERNMENT AGENCY FOR THE COLLECTION OF SALES AND USE TAX, SELLING AND SERVING LIQUOR AND MALT BEVERAGES, PROVIDING OVERNIGHT LODGING SERVICES OR ANY OTHER ACTIVITY REQUIRING A LICENSE OR PERMIT? A GOVERNMENT AGENCY AS USED HERE INCLUDES ANY SUBORDINATE CREATURE OF FEDERAL, STATE, NATIVE AMERICAN OR LOCAL GOVERNMENT CREATED TO CARRY OUT A GOVERNMENTAL FUNCTION OR TO IMPLEMENT A STATUTE OR STATUTES.  IF YOU ANSWER YES, YOU MUST COMPLETE <b>SCHEDULE 11</b> CONCERNING NON-GAMING LICENSES AND PERMITS.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
9. HAS APPLICANT, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES APPLIED FOR ANY LICENSE OR PERMIT BY A GOVERNMENT AGENCY CHARGED WITH REGULATING GAMES OF CHANCE, INCLUDING BUT NOT LIMITED TO SLOT MACHINES, VIDEO LOTTERY TERMINALS, TABLE GAMES, HORSE RACING, JAI ALAI, ETC.? A GOVERNMENT AGENCY AS USED HERE INCLUDES ANY SUBORDINATE CREATURE OF FEDERAL, STATE, NATIVE AMERICAN OR LOCAL GOVERNMENT CREATED TO CARRY OUT A GOVERNMENTAL FUNCTION OR TO IMPLEMENT A STATUTE OR STATUTES.  IF YOU ANSWER YES, YOU MUST COMPLETE <b>SCHEDULE 12</b> CONCERNING GAMING LICENSES AND PERMITS.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
APPLICANT'S CONTRIBUTIONS AND DISBURSEMENTS	
10. DURING THE LAST TEN (10) YEAR PERIOD, HAS APPLICANT, ITS PARENT COMPANY, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES, HOLDING COMPANIES, DIRECTORS, OFFICERS, EMPLOYEES OR ANY THIRD PARTIES ACTING FOR OR ON BEHALF OF APPLICANT MADE ANY BRIBES OR KICKBACKS OR MADE ANY PAYMENTS ALLEGED TO HAVE BEEN BRIBES OR KICKBACKS TO ANY EMPLOYEE, PERSON, COMPANY OR ORGANIZATION TO OBTAIN FAVORABLE TREATMENT?	
11. DURING THE LAST TEN (10) YEAR PERIOD, HAS APPLICANT, ITS PARENT COMPANY, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES, HOLDING COMPANIES, DIRECTORS, OFFICERS, EMPLOYEES OR ANY THIRD PARTIES ACTING FOR OR ON BEHALF OF THE APPLICANT MADE ANY BRIBES OR KICKBACKS OR MADE ANY PAYMENTS ALLEGED TO HAVE BEEN BRIBES OR KICKBACKS TO ANY GOVERNMENT OFFICIAL, DOMESTIC OR FOREIGN TO OBTAIN FAVORABLE TREATMENT?	
12. DURING THE LAST TEN (10) YEAR PERIOD, HAS APPLICANT, ITS PARENT COMPANY, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES, HOLDING COMPANIES, DIRECTORS, OFFICERS, EMPLOYEES OR ANY THIRD PARTIES ACTING FOR OR ON BEHALF OF APPLICANT LOANED FUNDS FOR THE PURPOSE OF OPPOSING OR SUPPORTING ANY GOVERNMENT, POLITICAL PARTY, CANDIDATE OR COMMITTEE, EITHER DOMESTIC OR FOREIGN?	

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<p>13. DURING THE LAST TEN (10) YEAR PERIOD, HAS APPLICANT, ITS PARENT COMPANY, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES, HOLDING COMPANIES, DIRECTORS, OFFICERS, EMPLOYEES OR ANY THIRD PARTIES ACTING FOR OR ON BEHALF OF APPLICANT DONATED OR LOANED PROPERTY OR ANY OTHER THING OF VALUE FOR THE PURPOSE OF OPPOSING OR SUPPORTING ANY GOVERNMENT, POLITICAL PARTY, CANDIDATE OR COMMITTEE, EITHER DOMESTIC OR FOREIGN?</p>	
<p>14. DURING THE LAST TEN (10) YEAR PERIOD, HAS APPLICANT, ITS PARENT COMPANY, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES MADE ANY LOANS, DONATIONS OR OTHER DISBURSEMENTS TO DIRECTORS, OFFICERS, EMPLOYEES OR ANY THIRD PARTIES FOR THE PURPOSE OF REIMBURSING SUCH INDIVIDUALS FOR POLITICAL CONTRIBUTIONS EITHER FOREIGN OR DOMESTIC?</p>	
<p>15. DURING THE LAST TEN (10) YEAR PERIOD, HAS APPLICANT, ITS PARENT COMPANY, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES MAINTAINED ANY BANK ACCOUNT, DOMESTIC OR FOREIGN, NOT REFLECTED ON THE APPLICANT'S BOOKS OR RECORDS?</p>	
<p>16. DURING THE LAST TEN (10) YEAR PERIOD, HAS APPLICANT, ITS PARENT COMPANY, OR ANY OF ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES OR HOLDING COMPANIES MAINTAINED ANY NUMBERED ACCOUNT OR ANY ACCOUNT IN THE NAME OF A NOMINEE FOR APPLICANT?</p>	
<p>IF YOU ANSWER YES TO ANY OF THESE QUESTIONS, YOU MUST COMPLETE <b>SCHEDULE 13</b> CONCERNING CONTRIBUTIONS AND DISBURSEMENTS.</p>	

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### APPLICATION CHECKLIST

PLACE A CHECKMARK IN EACH BOX NEXT TO EACH ITEM APPLICANT HAS ATTACHED TO THIS PRINCIPAL ENTITY FORM.

EACH ITEM MARKED AS MANDATORY MUST BE COMPLETED AND SUBMITTED AS PART OF THIS APPLICATION FORM. IF ANY ITEM IS MISSING, THE APPLICATION WILL BE CONSIDERED INCOMPLETE AND WILL NOT BE PROCESSED.

<input checked="" type="checkbox"/>	SCHEDULE 1: OTHER NAMES USED BY APPLICANT	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 2: ADDRESSES USED BY APPLICANT	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 3: CURRENT OFFICERS, DIRECTORS/PARTNERS AND TRUSTS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 4: APPLICANT'S OWNERS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 5: HISTORY OF INSURANCE CLAIMS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 6: CRIMINAL HISTORY	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 7: TESTIMONY, INVESTIGATIONS OR POLYGRAPHS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 8: EXISTING LITIGATION	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 9: ANTITRUST, TRADE REGULATION AND SECURITY JUDGMENTS; STATUTORY AND REGULATORY VIOLATIONS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 10: BANKRUPTCY OR INSOLVENCY PROCEEDINGS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 11: NON-GAMING LICENSES AND PERMITS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 12: GAMING LICENSES AND PERMITS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 13: APPLICANT'S CONTRIBUTIONS AND DISBURSEMENTS	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 14: APPLICANT BACKGROUND PART 1	MANDATORY
<input checked="" type="checkbox"/>	SCHEDULE 15: APPLICANT BACKGROUND PART 2	MANDATORY
<input checked="" type="checkbox"/>	APPLICATION FOR PENNSYLVANIA TAX CLEARANCE REVIEW	MANDATORY
<input checked="" type="checkbox"/>	AFFIDAVIT	MANDATORY
<input checked="" type="checkbox"/>	RELEASE AUTHORIZATION	MANDATORY
<input checked="" type="checkbox"/>	WAIVER OF LIABILITY	MANDATORY
<input checked="" type="checkbox"/>	LICENSEE'S AFFIRMATION	MANDATORY
<input checked="" type="checkbox"/>	PENNSYLVANIA POLITICAL CONTRIBUTIONS FORM	MANDATORY
<input checked="" type="checkbox"/>	FINANCIAL STATEMENT CERTIFICATION	MANDATORY

**APPENDICES: THE APPENDICES ARE DOCUMENTS THE APPLICANT MUST PROVIDE OR CREATE. THE APPENDICES ARE NOT REPRESENTED IN THE APPLICATION QUESTIONS OR ITS SCHEDULES. EACH APPENDIX SHALL BE PRESENTED IN A TABBED MANNER AND EACH TAB MUST INDICATE THE APPENDIX NUMBER AS LISTED BELOW. IF AN APPENDIX DOES NOT APPLY TO AN APPLICANT, WRITE "DOES NOT APPLY" ON THE APPENDIX PAGE.**

<input checked="" type="checkbox"/>	APPENDIX 1: EXISTING LITIGATION	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 2: AUDITED FINANCIAL STATEMENT FOR THE LAST FISCAL YEAR. IF THE APPLICANT DOES NOT NORMALLY HAVE ITS FINANCIAL STATEMENTS AUDITED, ATTACH UNAUDITED FINANCIAL STATEMENTS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 3: AUDITED FINANCIAL STATEMENTS FOR THE LAST FIVE (5) YEARS. IF THE APPLICANT DOES NOT NORMALLY HAVE ITS FINANCIAL STATEMENTS AUDITED, ATTACH UNAUDITED FINANCIAL STATEMENTS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 4: ANNUAL REPORTS FOR THE LAST FIVE (5) YEARS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 5: ANNUAL REPORTS PREPARED ON THE SEC'S 10K FOR THE LAST FIVE (5) YEARS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 6: A COPY OF THE LAST QUARTERLY UNAUDITED FINANCIAL STATEMENT.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 7: A COPY OR COPIES OF ANY INTERIM REPORTS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 8: A COPY OF THE LAST DEFINITIVE PROXY OR INFORMATION STATEMENT (SEC).	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 9: A COPY OF ALL REGISTRATION STATEMENTS FOR THE LAST FIVE (5) YEARS FILED IN ACCORDANCE WITH THE SECURITIES ACT OF 1933.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 10: COPIES OF ALL OTHER REPORTS PREPARED IN THE LAST FIVE (5) YEARS BY INDEPENDENT AUDITORS OF THE APPLICANT.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 11: CERTIFIED COPIES OF THE ARTICLES OF INCORPORATION, CHARTER, BYLAWS, PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENTS AND ALL AMENDMENTS AND PROPOSED AMENDMENTS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 12: CURRENT OWNERSHIP TABLE OF ORGANIZATION.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 13: COPIES OF 1120 FORMS, 941 FORMS AND ALL OTHER BUSINESS RELATED TAX FORMS FILED WITH THE IRS IN THE LAST FIVE (5) YEARS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 14: COPIES OF 5500 FORMS FILED WITH THE IRS IN THE LAST FIVE (5) YEARS.	MANDATORY
<input checked="" type="checkbox"/>	APPENDIX 15: DESCRIBE CRIMINAL HISTORY OF APPLICANT. THIS INFORMATION MUST BE PROVIDED IN ADDITION TO THE INFORMATION PROVIDED IN <b>SCHEDULE 6</b> . NARRATIVE INFORMATION ABOUT THE NATURE OF CHARGE OR COMPLAINT AND THE DISPOSITION MUST BE PROVIDED.	MANDATORY



LIST ALL OTHER NAMES UNDER WHICH APPLICANT HAS DONE BUSINESS AND GIVE APPROXIMATE TIME PERIODS DURING WHICH NAME WAS USED.

[illegible]





# **SCHEDULE 2: ADDRESSES USED BY APPLICANT**

PROVIDE ALL ADDRESSES, WHICH APPLICANT HAS USED OR FROM WHICH IT WAS CONDUCTING BUSINESS DURING THE LAST TEN (10) YEAR PERIOD, AND PROVIDE THE APPROXIMATE DATES DURING WHICH SUCH ADDRESSES WERE USED.

ADDRESS PURPOSE		ADMINISTRATIVE OFFICES		ADDRESS USED FROM		ADDRESS USED TO	
ADDRESS LINE 1		601 E PRATT ST., 6TH FLOOR		FORMATION ON 6/6/2001		PRESENT	
ADDRESS LINE 3				ADDRESS LINE 2			
COUNTRY		USA		CITY BALTIMORE		STATE/PROVINCE MD	
		EMAIL ADDRESS		PHONE NUMBER		FAX NUMBER	
		JON@CORDISH.COM		( 410) 752-5444		( 410) 659-9491	
ADDRESS PURPOSE				ADDRESS USED FROM		ADDRESS USED TO	
ADDRESS LINE 1				ADDRESS LINE 2			
ADDRESS LINE 3				CITY		STATE/PROVINCE	
COUNTRY				PHONE NUMBER		FAX NUMBER	
		EMAIL ADDRESS		( )		( )	
ADDRESS PURPOSE				ADDRESS USED FROM		ADDRESS USED TO	
ADDRESS LINE 1				ADDRESS LINE 2			
ADDRESS LINE 3				CITY		STATE/PROVINCE	
COUNTRY				PHONE NUMBER		FAX NUMBER	
		EMAIL ADDRESS		( )		( )	
ADDRESS PURPOSE				ADDRESS USED FROM		ADDRESS USED TO	
ADDRESS LINE 1				ADDRESS LINE 2			
ADDRESS LINE 3				CITY		STATE/PROVINCE	
COUNTRY				PHONE NUMBER		FAX NUMBER	
		EMAIL ADDRESS		( )		( )	

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**SCHEDULE 3: CURRENT OFFICERS, DIRECTORS/PARTNERS AND TRUSTS**

PROVIDE THE FOLLOWING INFORMATION FOR ALL OFFICERS, DIRECTORS/PARTNERS, TRUSTEES, GRANTORS OR BENEFICIARIES OF A TRUST THAT IS REQUIRED TO BE LICENSED AS A PRINCIPAL UNDER THIS CHAPTER.

FIRST NAME JONATHAN		MIDDLE NAME ASHLEY	LAST NAME CORDISH		SUFFIX (JR., SR., ETC.)	DATE OF BIRTH [REDACTED]
[REDACTED]						
<b>APPLICANT ADDRESS</b> CURRENT TITLE OR POSITION ADDRESS LINE 2 ADDRESS LINE 1 601 E PRATT ST., 6TH FLOOR ADDRESS LINE 3 CITY BALTIMORE STATE/PROVINCE MD POSTAL CODE 21202 COUNTRY USA EMAIL ADDRESS PHONE NUMBER (410) 752-5444 FAX NUMBER (410) 659-9491						
<b>DATES, TITLES AND/OR POSITIONS HELD (STARTING WITH CURRENT POSITION AND WORKING BACKWARDS)</b> FROM DATE TO DATE TITLE OR POSITION ANNUAL COMPENSATION & VALUE COMPOSITION OF COMPENSATION (SPECIFY SALARY, WAGES, COMMISSIONS, FEES, BONUS OR OTHER)						
5/15/2009	PRESENT	SOLE MANAGER		[REDACTED]		
				[REDACTED]		
				[REDACTED]		
				[REDACTED]		
				[REDACTED]		

\* MAKE ADDITIONAL COPIES AND ATTACH ADDITIONAL PAGES AS NECESSARY.

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PROVIDE THE FOLLOWING INFORMATION FOR EACH PERSON WHO HAS A DIRECT OWNERSHIP INTEREST IN A SLOT MACHINE APPLICANT OR LICENSEE AND ALL INDIVIDUALS WHO HAVE A 1% OR GREATER INDIRECT OWNERSHIP INTEREST IN A SLOT MACHINE, MANUFACTURER, SUPPLIER OR MANUFACTURER DESIGNEE APPLICANT OR LICENSEE. THIS INFORMATION IS NOT REQUIRED FOR INDIVIDUALS WHOSE INDIRECT OWNERSHIP INTEREST IS IN A PUBLICLY TRADED COMPANY, UNLESS THE INDIVIDUAL HOLDS INDIRECTLY A 5% OR MORE OWNERSHIP INTEREST. ALSO PROVIDE THE FOLLOWING INFORMATION FOR ENTITIES HOLDING A 5% OR MORE INDIRECT OWNERSHIP INTEREST USING THE SUCCESSIVE MULTIPLICATION FORMULA. HOWEVER, IF THE ENTITY IS A TRUST WHICH HOLDS AN INDIRECT OWNERSHIP INTEREST OF 1%, PLEASE PROVIDE THIS INFORMATION FOR THE TRUST.

[illegible]

\* MAKE ADDITIONAL COPIES AND ATTACH ADDITIONAL PAGES AS NECESSARY.

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Initials

PROVIDE THE FOLLOWING INFORMATION FOR EACH PERSON WHO HAS A DIRECT OWNERSHIP INTEREST IN A SLOT MACHINE APPLICANT OR LICENSEE AND ALL INDIVIDUALS WHO HAVE A 1% OR GREATER INDIRECT OWNERSHIP INTEREST IN A SLOT MACHINE, MANUFACTURER, SUPPLIER OR MANUFACTURER DESIGNEE APPLICANT OR LICENSEE. THIS INFORMATION IS NOT REQUIRED FOR INDIVIDUALS WHOSE INDIRECT OWNERSHIP INTEREST IS IN A PUBLICLY TRADED COMPANY, UNLESS THE INDIVIDUAL HOLDS INDIRECTLY A 5% OR MORE OWNERSHIP INTEREST. ALSO PROVIDE THE FOLLOWING INFORMATION FOR ENTITIES HOLDING A 5% OR MORE INDIRECT OWNERSHIP INTEREST USING THE SUCCESSIVE MULTIPLICATION FORMULA. HOWEVER, IF THE ENTITY IS A TRUST WHICH HOLDS AN INDIRECT OWNERSHIP INTEREST OF 1%, PLEASE PROVIDE THIS INFORMATION FOR THE TRUST.

FIRST NAME	MIDDLE NAME	NAME AND ADDRESS LAST NAME	SUFFIX (JR., SR., ETC.)	DATE OF BIRTH
The David S. Cordish Alaska Trust				n/a
ADDRESS LINE 1				
ADDRESS LINE 2				
DESCRIBE NATURE, TYPE, TERM AND CONDITIONS OF OWNERSHIP				

• MAKE ADDITIONAL COPIES AND ATTACH ADDITIONAL PAGES AS NECESSARY.

**SECRET**

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PROVIDE THE FOLLOWING INFORMATION FOR EACH PERSON WHO HAS A DIRECT OWNERSHIP INTEREST IN A SLOT MACHINE APPLICANT OR LICENSEE AND ALL INDIVIDUALS WHO HAVE A 1% OR GREATER INDIRECT OWNERSHIP INTEREST IN A SLOT MACHINE, MANUFACTURER, SUPPLIER OR MANUFACTURER DESIGNEE APPLICANT OR LICENSEE. THIS INFORMATION IS NOT REQUIRED FOR INDIVIDUALS WHOSE INDIRECT OWNERSHIP INTEREST IS IN A PUBLICLY TRADED COMPANY, UNLESS THE INDIVIDUAL HOLDS INDIRECTLY A 5% OR MORE OWNERSHIP INTEREST. ALSO PROVIDE THE FOLLOWING INFORMATION FOR ENTITIES HOLDING A 5% OR MORE INDIRECT OWNERSHIP INTEREST USING THE SUCCESSIVE MULTIPLICATION FORMULA. HOWEVER, IF THE ENTITY IS A TRUST WHICH HOLDS AN INDIRECT OWNERSHIP INTEREST OF 1%, PLEASE PROVIDE THIS INFORMATION FOR THE TRUST.

[illegible]

• MAKE ADDITIONAL COPIES AND ATTACH ADDITIONAL PAGES AS NECESSARY.

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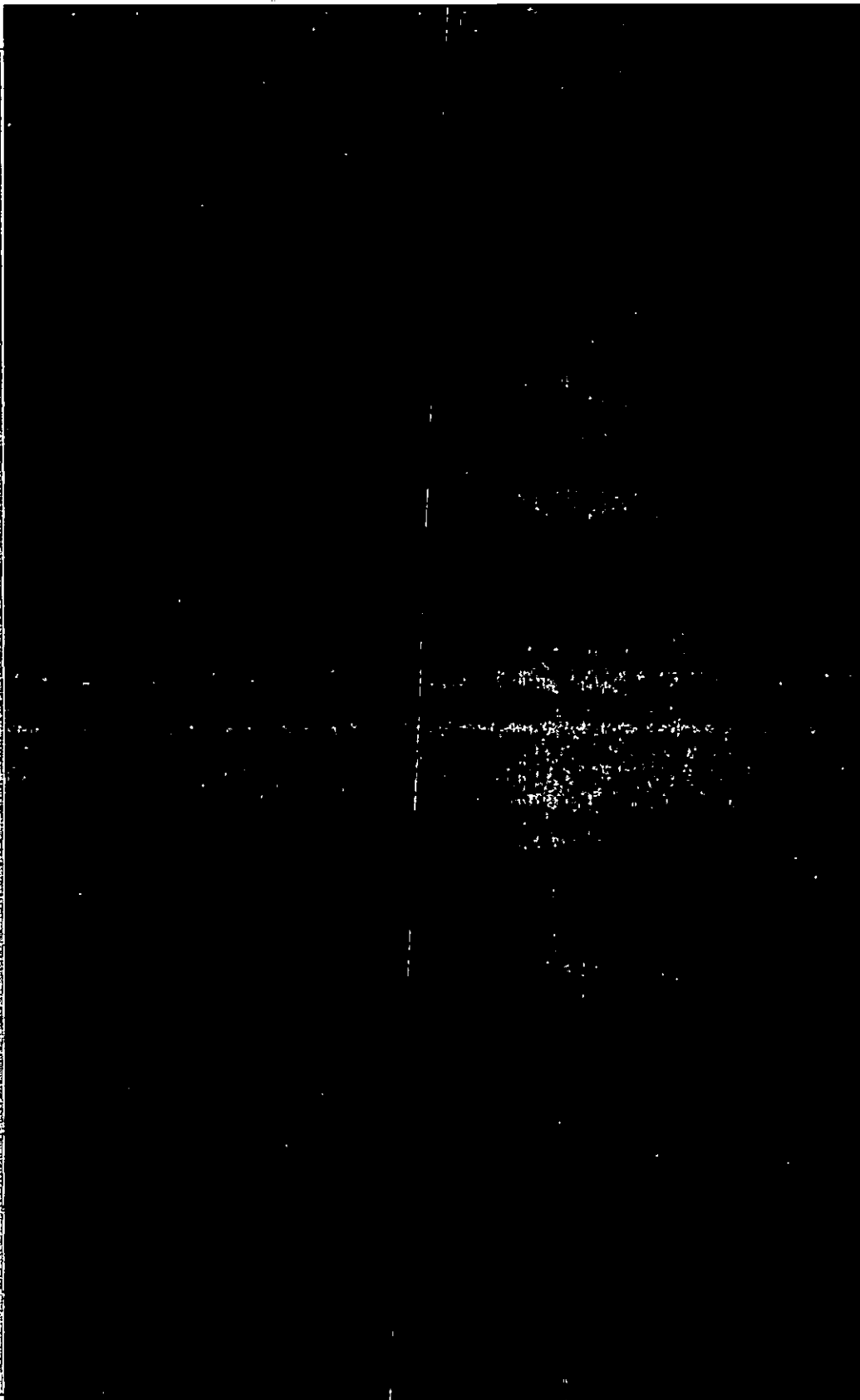




**SCHEDULE 5: HISTORY OF INSURANCE CLAIMS**

DESCRIBE THE NATURE, TYPE, TERMS AND CONDITIONS OF ALL INSURANCE CLAIMS RELATING TO THE BUSINESS ACTIVITIES OF APPLICANT AND ITS PARENT, AFFILIATES, SUBSIDIARIES, INTERMEDIARIES AND HOLDING COMPANIES FOR THE LAST TEN (10) YEAR PERIOD.

INSURANCE CLAIMS





**SCHEDULE 6: CRIMINAL HISTORY**

IF APPLICANT ANSWERED YES TO QUESTION 1 ON PAGE 3, PROVIDE THE FOLLOWING INFORMATION:

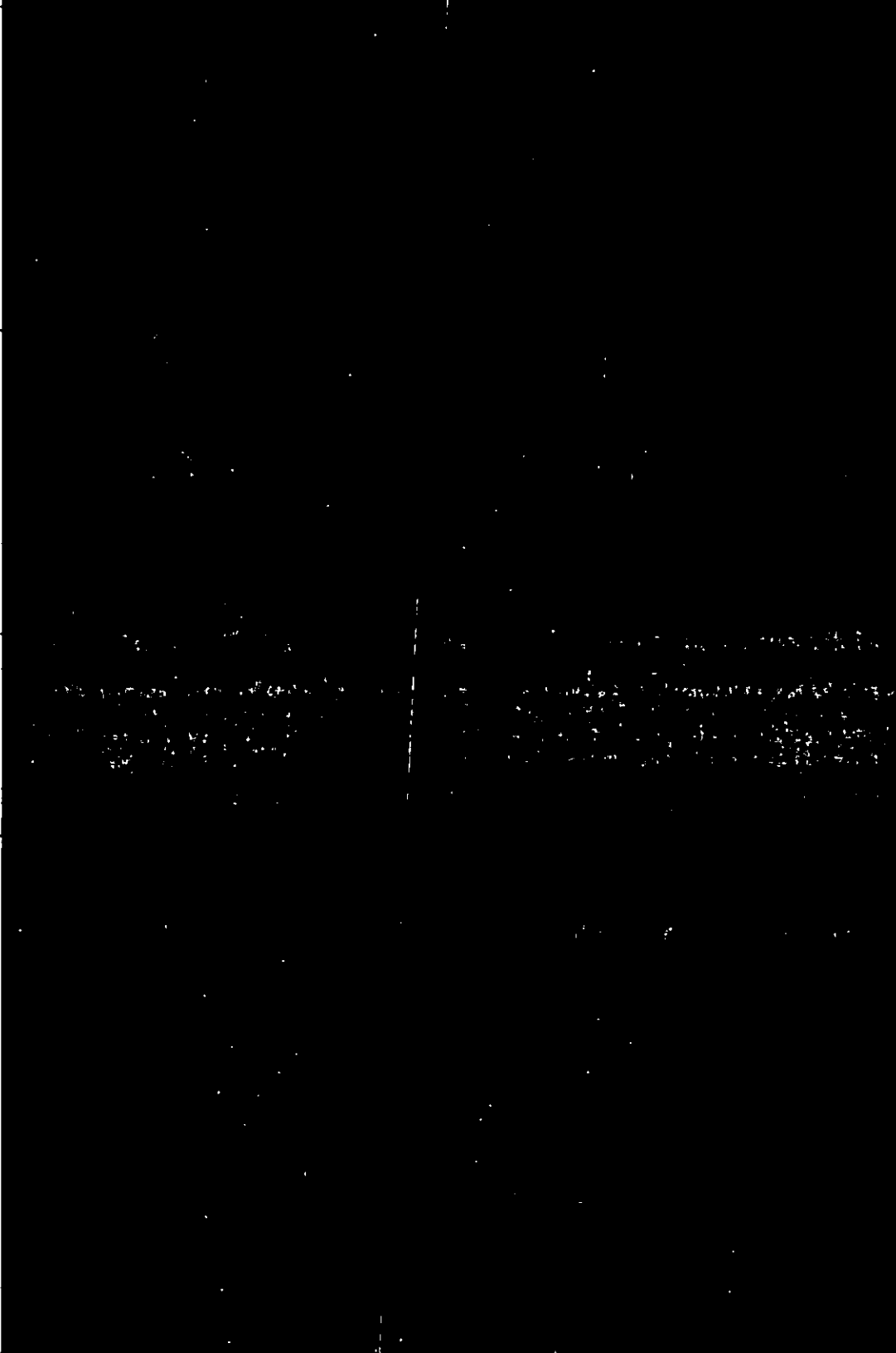
NAME OF CASE & DOCKET NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	DISPOSITION (ACQUITTED, CONVICTED, DISMISSED, ETC.)	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	SENTENCE	NAME OF OFFICER, DIRECTOR/PARTNER OR TRUSTEE
NONE						

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**SCHEDULE 7: TESTIMONY, INVESTIGATIONS OR POLYGRAPHS**

IF APPLICANT ANSWERED YES TO QUESTION 2 ON PAGE 3, PROVIDE THE FOLLOWING INFORMATION:

NAME AND ADDRESS OF COURT OR AGENCY	TESTIMONY, INVESTIGATION OR POLYGRAPH INCIDENT	DATE ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF
			



**SCHEDULE 8: EXISTING LITIGATION**

PROVIDE THE FOLLOWING INFORMATION AND ATTACH AS APPENDIX 1 A DESCRIPTION OF ALL EXISTING CIVIL LITIGATION TO WHICH APPLICANT IS PRESENTLY A PARTY, WHETHER IN THIS COMMONWEALTH OR IN ANOTHER JURISDICTION. DO NOT INCLUDE ANY LITIGATION IN WHICH THE DAMAGES MAY NOT REASONABLY BE EXPECTED TO EXCEED \$100,000, OR LITIGATION IN WHICH DAMAGES MAY BE EXPECTED TO EXCEED \$100,000, BUT WHICH INVOLVES CLAIMS AGAINST APPLICANT WHICH ARE FULLY AND COMPLETELY COVERED UNDER AN INSURANCE POLICY HELD BY THE APPLICANT WITH A LICENSED INSURANCE CARRIER. THIS DESCRIPTION MUST INCLUDE THE TITLE AND DOCKET NUMBER OF THE LITIGATION, THE NAME AND LOCATION OF THE COURT BEFORE WHICH IT IS PENDING, THE IDENTITY OF ALL PARTIES TO THE LITIGATION AND THE GENERAL NATURE OF ALL CLAIMS BEING MADE.

<p>NAME OF CASE AND DOCKET NUMBER</p> <p>NONE</p>	<p>EXISTING LITIGATION LOCATION AND NAME OF COURT BEFORE WHICH LITIGATION IS PENDING</p>
<p>NAMES OF ALL PARTIES TO LITIGATION</p>	<p>NATURE OF THE CLAIMS</p>
<p>NAME OF CASE AND DOCKET NUMBER</p>	<p>EXISTING LITIGATION LOCATION AND NAME OF COURT BEFORE WHICH LITIGATION IS PENDING</p>
<p>NAMES OF ALL PARTIES TO LITIGATION</p>	<p>NATURE OF THE CLAIMS</p>

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**SCHEDULE 9: ANTITRUST, TRADE REGULATION & SECURITY JUDGMENTS; STATUTORY AND REGULATORY VIOLATIONS**

IF APPLICANT ANSWERED YES TO QUESTIONS 3 OR 4 ON PAGE 3, PROVIDE THE FOLLOWING INFORMATION:

NAME OF CASE & DOCKET NUMBER	DATE OF JUDGMENT, ORDER OR DECREE	VIOLATION	NAME AND ADDRESS OF AGENCY OR COURT INVOLVED
N/A			
NATURE OF OFFENSE			
DISPOSITION <input type="checkbox"/> ACQUITTED <input type="checkbox"/> CONVICTED <input type="checkbox"/> DISMISSED <input type="checkbox"/> OTHER			
NATURE OF JUDGMENT, DECREE OR ORDER			
NATURE OF OFFENSE			
DISPOSITION <input type="checkbox"/> ACQUITTED <input type="checkbox"/> CONVICTED <input type="checkbox"/> DISMISSED <input type="checkbox"/> OTHER			
NATURE OF JUDGMENT, DECREE OR ORDER			

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**SCHEDULE 10: BANKRUPTCY OR INSOLVENCY PROCEEDINGS**

IF APPLICANT ANSWERED YES TO QUESTIONS 5, 6 AND/OR 7 ON PAGE 4, PROVIDE THE FOLLOWING:

NAME OF CASE & DOCKET NUMBER		BANKRUPTCY OR INSOLVENCY PROCEEDINGS DATE PETITION FILED OR RELIEF SOUGHT		NAME AND ADDRESS OF AGENCY OR COURT INVOLVED		NAME OF COURT APPOINTED RECEIVER, AGENT OR TRUSTEE		DATE RECEIVER, AGENT OR TRUSTEE APPOINTED	
N/A									
NATURE OF JUDGMENT OR RELIEF									

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**SCHEDULE 11: NON-GAMING LICENSES AND PERMITS**

IF APPLICANT ANSWERED YES TO QUESTION 8 ON PAGE 4, PROVIDE THE FOLLOWING INFORMATION FOR THE LAST TEN (10) YEAR PERIOD:

TYPE OF LICENSE OR PERMIT	NAME AND LOCATION OF GOVERNMENT AGENCY	APPLICATION NUMBER	DISPOSITION	DATE OF DISPOSITION	IF GRANTED, PROVIDE THE LICENSE/PERMIT NUMBER AND EXPIRATION DATE. IF DENIED, PENDING, EXPIRED, SUSPENDED, CONDITIONED, REVOKED OR WITHDRAWN, PROVIDE DETAILS.
SEE ATTACHED EXHIBIT 1			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		

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Initials 

# EXHIBIT 1 – ANSWER TO SCHEDULE 11 – NON-GAMING LICENSES AND PERMITS

Jonathan A. Cordish is the Manager of Cordish Family II, LLC. Mr. Cordish owns an indirect interest in Entertainment Holdings, LLC ("EH").<sup>1</sup> EH is the operating affiliate of The Cordish Companies, and the business of EH is the ownership of restaurants and other entertainment venues.

A number of subsidiaries of EH hold liquor licenses for their venues. As a result, the owners of Stadium Casino Baltimore Investors, LLC hold indirect interests in numerous entities that own liquor licenses. These entities are summarized below.

## Liquor License Applications Held by Wholly Owned Subsidiaries of Entertainment Holdings, LLC

NAME AND ADDRESS OF BUSINESS ENTITY	NATURE OF INTEREST	DATE OF APPLICATION	NAME & ADDRESS OF AGENCY	TYPE OF LICENSE APPLIED FOR	DISPOSITION OF APPLICATION
Phoenix 100, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	8.27% ownership interest	9/2004	State of Arizona Dept. of Liquor Licenses And Control	Spirituos Liquor License	Granted
Hampton McFaddens, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	8.27% ownership interest	1/7/2005	Virginia Dept. of Alcoholic Beverage Control 2901 Hermitage Road P. O. Box 27491 Richmond, VA 23261	Wine and Beer on Premises, Mixed Beverage Restaurant	Granted
Shealy Avenue, Inc. 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	8.27% ownership interest	9/2005	State of Maryland Baltimore County Board of Liquor License Commissioners	Liquor License	Granted
NC Myrtle Beach, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	8.27% ownership interest	5/19/2006	South Carolina Dept. of Revenue 1330 Howard Avenue Myrtle Beach, SC 29588	Business Liquor by the Drink, On Premises Beer and Wine	Granted
NC Orlando, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	8.27% ownership interest	5/2006	Florida Dept. of Pro. Bus. Regs., Division of Alcoholic Beverages 1940 N. Monroe Tallahassee, FL 32399	Retail Beverage	Granted
Hydro Entertainment, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	8.27% ownership interest	10/8/2006	Virginia Dept. of Alcoholic Beverage Control 2901 Hermitage Road P. O. Box 27491 Richmond, VA 23261	Wine and Beer on Premises, Mixed Beverage Restaurant	Unknown
FSL Entertainment, LLC 601 E Pratt St, 6th Floor Baltimore, MD 21202	8.27% ownership interest	7/2007	Commonwealth of Kentucky, Department of Alcoholic Bev Cont 1003 Twilight Trail, Frankfort, KY 40601	Liquor, Retail Beer, Special Sunday Retail Drink License Supplement Bar Liquor	Granted
Irish Pub KC, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	8.27% ownership interest	10/31/2007	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Sun, Ext Hours	Granted

<sup>1</sup> Specifically, Mr. Cordish owns a 33% interest in Cordish Enterprises, LLLP ("CELP"). CELP in turn owns a 19% interest in Entertainment Holdings, LLC. Mr. Cordish therefore owns a 6.27% indirect interest in Entertainment Holdings, LLC. Entertainment Holdings, LLC in turn is the owner of the entities listed in this Exhibit, which hold alcoholic beverage licenses.

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NAME AND ADDRESS OF BUSINESS ENTITY	NATURE OF INTEREST	DATE OF APPLICATION	NAME & ADDRESS OF AGENCY	TYPE OF LICENSE APPLIED FOR	DISPOSITION OF APPLICATION
KC Vin, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	10/31/2007	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Sun, Ext Hours	Granted
Kansas City Live Promotional Assoc., LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	11/19/2007	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD Entertainment District License	Granted
FSH Management, LLC 601 E Pratt St, 6th Floor Baltimore, MD 21202	6.27% ownership interest	2/8/2008	Commonwealth of Kentucky, Department of Alcoholic Bev Cont 1003 Twilight Trail, Frankfort, KY 40601	Liquor, Retail Beer, Special Sunday Retail Drink License Supplement Bar Liquor	Granted
ARB KC, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	2/25/2008	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Ext Hours	Granted
Mexas Kansas City, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	2/25/2008	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Sun, Ext Hours	Granted
CTB Investors, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	4/1/2008	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Ext Hours	Granted
Kentucky R&L, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	4/1/2008	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Ext hours	Granted
Lounge KC, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	4/4/2008	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Ext hours	Granted
Beach Entertainment, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	8/04/2008	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Sun, Convention License, Ext. Hours	Granted
KC Concessionaire, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	9/05/2008	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Sun Convention License, Ext. Hours	Granted
BP Entertainment I, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	10/2008	Texas Alcoholic Beverage Commission 5806 Mesa Dr. Austin, TX 78731	Mixed Bev Permit, Bev Cartage Permit Caterers Permit Mixed Bev Late Hours Permit	Granted
Rooftop Lounge, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	5/2009	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Sun, Ext Hours	Granted
Boutique KC, LLC 601 E. Pratt St., 6 <sup>th</sup> Floor Baltimore, MD 21202	6.27% ownership interest	2/2010	City of Kansas City Regulated Businesses Div. Century Towers – Suite 2101 Kansas City, MO 64108	RBD, Sun, Ext Hours	Granted
Downtown Sports, LLC 601 E Pratt St, 6th Floor Baltimore, MD 21202	6.27% ownership interest	11/2010	Commonwealth of Kentucky, Department of Alcoholic Bev Cont 1003 Twilight Trail, Frankfort, KY 40601	Liquor, Retail Beer, Special Sunday Retail Drink License Supplement Bar Liquor	Granted

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NAME AND ADDRESS OF BUSINESS ENTITY	NATURE OF INTEREST	DATE OF APPLICATION	NAME & ADDRESS OF AGENCY	TYPE OF LICENSE APPLIED FOR	DISPOSITION OF APPLICATION
Fourth Street EDC, LLC 801 E Pratt St, 8th Floor Baltimore, MD 21202	8.27% ownership interest	11/2010	Commonwealth of Kentucky, Department of Alcoholic Bev Cont 1003 Twilight Trail, Frankfort, KY 40601	Liquor, Retail Beer, Special Sunday Retail Drink License Supplement Bar Liquor	Granted
Houston Lounge, LLC 801 E. Pratt St., 8th Floor Baltimore, MD 21202	8.27% ownership interest	3/2011	Texas Alcoholic Beverage Commission 5806 Mesa Dr. Austin, TX 78731	Mixed Bev Permit, Bev Cartage Permit Caterers Permit Mixed Bev Late Hours Permit	Granted
Disco Ville, LLC 601 E Pratt St, 6th Floor Baltimore, MD 21202	8.27% ownership interest	3/2012	Commonwealth of Kentucky, Department of Alcoholic Bev Cont 1003 Twilight Trail, Frankfort, KY 40601	Liquor, Retail Beer, Special Sunday Retail Drink License Supplement Bar Liquor	Granted
KC Hotel, LLC 601 E. Pratt St., 6th Floor Baltimore, MD 21202	8.27% ownership interest	3/2012	City of Kansas City Regulated Businesses Div. Century Towers - Suite 2101 Kansas City, MO 64108	RBD, Sun, Ext Hours	Granted
HPP Western, LLC 601 E. Pratt St., 6th Floor Baltimore, MD 21202	8.27% ownership interest	3/2012	Virginia Dept. of Alcoholic Beverage Control 2801 Hermitage Road P. O. Box 27491 Richmond, VA 23281	Wine and Beer on Premises, Mixed Beverage Restaurant	Granted
Southern Lounge, LLC 601 E Pratt St, 8th Floor Baltimore, MD 21202	8.27% ownership interest	4/2012	Commonwealth of Kentucky, Department of Alcoholic Bev Cont 1003 Twilight Trail, Frankfort, KY 40601	Liquor, Retail Beer, Special Sunday Retail Drink License Supplement Bar Liquor	Granted
KCL Catering, LLC 601 E. Pratt St., 6th Floor Baltimore, MD 21202	8.27% ownership interest	5/2012	City of Kansas City, Regulated Businesses Div. Century Towers - Suite 2101 Kansas City, MO 64108	RBD, Sun, Ext Hours	Granted

Mr. Jonathan A. Cordish also holds a 28.32% partnership interest in PL Phase One Investors, LP, which in turn owns a 49.9% interest in PL Phase One Operations LP. PL Phase One Operations LP owns an alcoholic beverage license. This entity is likewise managed as part of The Cordish Company's business operations, similar to those entities listed above. Information on this entity and Mr. Cordish's ownership is as follows:

NAME AND ADDRESS OF BUSINESS ENTITY	NATURE OF INTEREST	DATE OF APPLICATION	NAME & ADDRESS OF AGENCY	TYPE OF LICENSE APPLIED FOR	DISPOSITION OF APPLICATION
PL Phase One Operations LP 1100 Pattison Ave., Philadelphia, PA 19148	14.13% interest	3/7/12	Pennsylvania Liquor Control Board (PLCB) Bureau of Licensing P.O. Box 8940 Harrisburg, PA 17105-8940	Restaurant Liquor	Granted R 8504

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# **SCHEDULE 12: GAMING LICENSES AND PERMITS**

IF APPLICANT ANSWERED YES TO QUESTION 9 ON PAGE 4, PROVIDE THE FOLLOWING INFORMATION FOR THE LAST TEN (10) YEAR PERIOD:

TYPE OF LICENSE OR PERMIT	NAME AND LOCATION OF GOVERNMENT AGENCY	APPLICATION NUMBER	DISPOSITION	DATE OF DISPOSITION	IF GRANTED, PROVIDE THE LICENSE/PERMIT NUMBER AND EXPIRATION DATE. IF DENIED, PENDING, EXPIRED, SUSPENDED, CONDITIONED, REVOKED OR WITHDRAWN, PROVIDE DETAILS.
SEE ATTACHED EXHIBIT 2			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		
			<input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> PENDING <input type="checkbox"/> EXPIRED <input type="checkbox"/> SUSPENDED <input type="checkbox"/> CONDITIONED <input type="checkbox"/> WITHDRAWN <input type="checkbox"/> REVOKED		

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## EXHIBIT 2 – ANSWER TO SCHEDULE 12 – GAMING LICENSES AND PERMITS

Jonathan A. Cordish is the Manager of Cordish Family II, LLC. Mr. Jonathan Cordish, through his role as a Principal of The Cordish Companies, has been and is licensed in multiple jurisdictions as a result of the company's gaming developments in those jurisdictions.

In addition, Cordish Family II, LLC is owned for tax purposes 100% by David S. Cordish. Mr. Cordish was licensed by the Maryland Lottery as part of its licensure of PPE Casino Resorts Maryland, LLC, the owner of a Video Lottery Terminal Facility Manager contract with the State of Maryland as pertains to a casino, Maryland Live! Casino, in Anne Arundel County, Maryland.

### INFORMATION FOR MR. JONATHAN A. CORDISH:

NAME & ADDRESS OF LICENSING AGENCY/ORGANIZATION (INCLUDING COUNTRY, STATE/PROVINCE, COUNTY OR MUNICIPALITY/TOWN)	TYPE OF LICENSE, PERMIT, APPROVAL OR REGISTRATION	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED OR PENDING, ETC.)	LICENSE, PERMIT, APPROVAL OR REGISTRATION NUMBER
Kansas Racing and Gaming Commission Licensing Division 700 SW Harrison, Suite 420 Topeka KS, 66603-3754	Personal; Licensed as owner in Lottery Gaming Facility Manager Application	Sept. 2008	Application Withdrawn by Applicant (casino development did not occur)	n/a
Indiana Gaming Commission 115 West Washington Street South Tower, Suite 950 Indianapolis, IN 46204	Personal; Licensed as Owner of Supplier to Gaming Facility	April 2008	Granted	
Maryland Lottery Commission Montgomery Business Park 1800 Washington Blvd. Baltimore, MD 21230	Personal; Licensed as owner of Video Lottery Terminal Facility Manager	Jan. 2009	Granted	

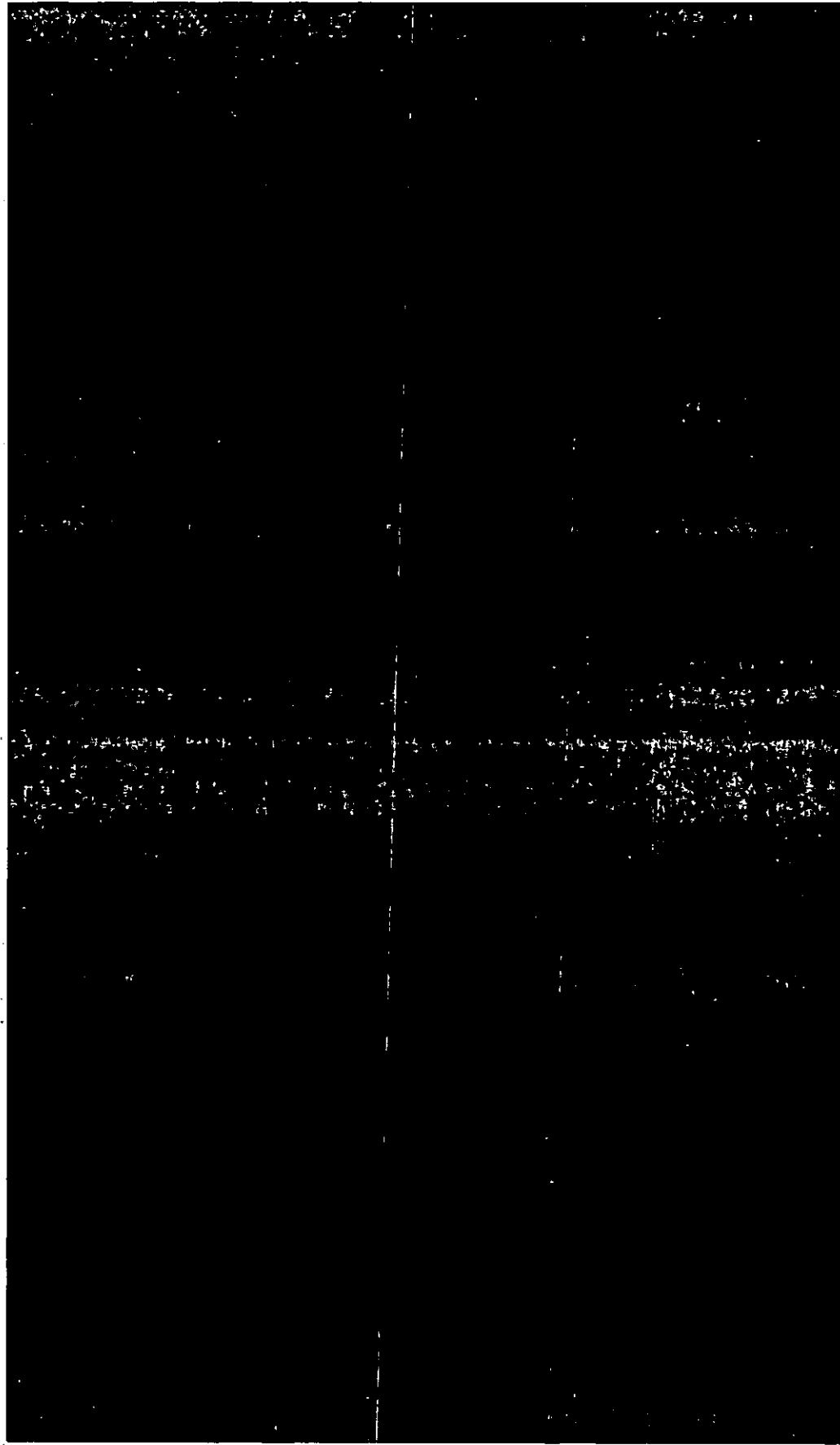
### INFORMATION FOR MR. DAVID S. CORDISH:

NAME & ADDRESS OF LICENSING AGENCY/ORGANIZATION (INCLUDING COUNTRY, STATE/PROVINCE, COUNTY OR MUNICIPALITY/TOWN)	TYPE OF LICENSE, PERMIT, APPROVAL OR REGISTRATION	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED OR PENDING, ETC.)	LICENSE, PERMIT, APPROVAL OR REGISTRATION NUMBER
Maryland Lottery Commission Montgomery Business Park 1800 Washington Blvd. Baltimore, MD 21230	Personal; Licensed as part of Video Lottery Terminal Facility Manager	Jan. 2009	Granted	



**SCHEDULE 13: APPLICANT'S CONTRIBUTIONS AND DISBURSEMENTS**

IF APPLICANT ANSWERED YES TO ANY OF QUESTIONS 10 THROUGH 16 ON PAGES 4 AND 5 PROVIDE THE FOLLOWING INFORMATION FOR ANY PRESENT OR FORMER DIRECTORS, OFFICERS, EMPLOYEES OR THIRD PARTIES WHO WOULD HAVE KNOWLEDGE OR INFORMATION OF THE CONTRIBUTIONS AND/OR DISBURSEMENTS DURING THE LAST TEN (10) YEAR PERIOD:



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**SCHEDULE 14: BUSINESS BACKGROUND PART 1**

<p><b>DESCRIPTION OF PRESENT BUSINESS</b></p> <p>Cordish Family II, LLC is a family investment partnership solely managed and controlled by Jonathan A. Cordish. Its sole business purpose is to lend funds to finance the initial development of real estate and gaming projects owned and developed by the Principals of The Cordish Companies, of which Jonathan A. Cordish is also a Principal. In this capacity, Cordish Family II, LLC has provided lines of credit to three of the owners of the remaining gaming license in Philadelphia: Jonathan A. Cordish, Blake L. Cordish, Reed S. Cordish. These lines of credit are being provided to provide further financial capacity for those owners of the Applicant to finance the development of their proposed casino facility in Philadelphia.</p>
<p><b>DESCRIPTION OF COMPETITIVE CONDITIONS</b></p> <p>Not applicable</p>
<p><b>PRINCIPAL PRODUCTS PRODUCED AND/OR SERVICES RENDERED</b></p> <p>Please see above.</p>
<p><b>AVAILABILITY OF RAW MATERIALS, CRITICAL TECHNOLOGY &amp; EMPLOYEES</b></p> <p>Not applicable.</p>
<p><b>INTELLECTUAL PROPERTY OWNED BY APPLICANT &amp; IMPORTANCE TO BUSINESS</b></p> <p>Not applicable.</p>

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[illegible]

**NONE**

**NONE**

**HISTORY OF PREVIOUS BUSINESS CONDUCTED BY APPLICANT**

**No business activities other than those described above.**

PGCB-PE-1011

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**000000**


## PENNSYLVANIA POLITICAL CONTRIBUTIONS FORM

IN THE CHART BELOW, PROVIDE THE REQUIRED INFORMATION FOR ALL POLITICAL CONTRIBUTIONS, MONETARY OR IN-KIND, TO A CANDIDATE FOR NOMINATION OR ELECTION TO ANY PUBLIC OFFICE IN THIS COMMONWEALTH, OR TO ANY POLITICAL COMMITTEE OR STATE PARTY IN THIS COMMONWEALTH OR TO ANY GROUP, COMMITTEE OR ASSOCIATION ORGANIZED IN SUPPORT OF ANY SUCH CANDIDATE, POLITICAL COMMITTEE OR STATE PARTY ON OR AFTER THE DATE YOUR ENTITY'S APPLICATION WAS SUBMITTED TO THE PENNSYLVANIA GAMING CONTROL BOARD (BOARD). THE APPLICANT OR LICENSEE MUST LIST POLITICAL CONTRIBUTIONS BY ITS AFFILIATES, INTERMEDIARIES, SUBSIDIARIES, HOLDING COMPANIES, PRINCIPALS AND KEY EMPLOYEES WHO HOLD SIMILAR GAMING LICENSES IN OTHER JURISDICTIONS. DO NOT INCLUDE CONTRIBUTIONS TO CANDIDATES FOR FEDERAL OFFICES OR TO COMMITTEES OR GROUPS ORGANIZED SOLELY IN SUPPORT OF FEDERAL CANDIDATES.

IF THERE IS MORE THAN ONE CONTRIBUTION TO THE SAME CANDIDATE, POLITICAL COMMITTEE, STATE PARTY, ETC., SEPARATE ENTRIES MUST BE LISTED FOR EACH CONTRIBUTION.

NOTE: IF YOU NEED SPACE FOR ADDITIONAL ENTRIES, PLEASE MAKE ADDITIONAL COPIES OF THIS FORM.

DATE OF CONTRIBUTION	NAME AND ADDRESS OF THE CANDIDATE, POLITICAL COMMITTEE OR STATE PARTY, OR GROUP, COMMITTEE OR ASSOCIATION ORGANIZED IN SUPPORT OF SUCH CANDIDATE, POLITICAL COMMITTEE OR STATE PARTY	AMOUNT OR VALUE OF CONTRIBUTION
NONE		

  
SIGNATURE OF CEO/AUTHORIZED SIGNATORY

11/7/12  
DATE SIGNED

JONATHAN A. CORDISH  
PRINTED NAME OF CEO/AUTHORIZED SIGNATORY – TITLE

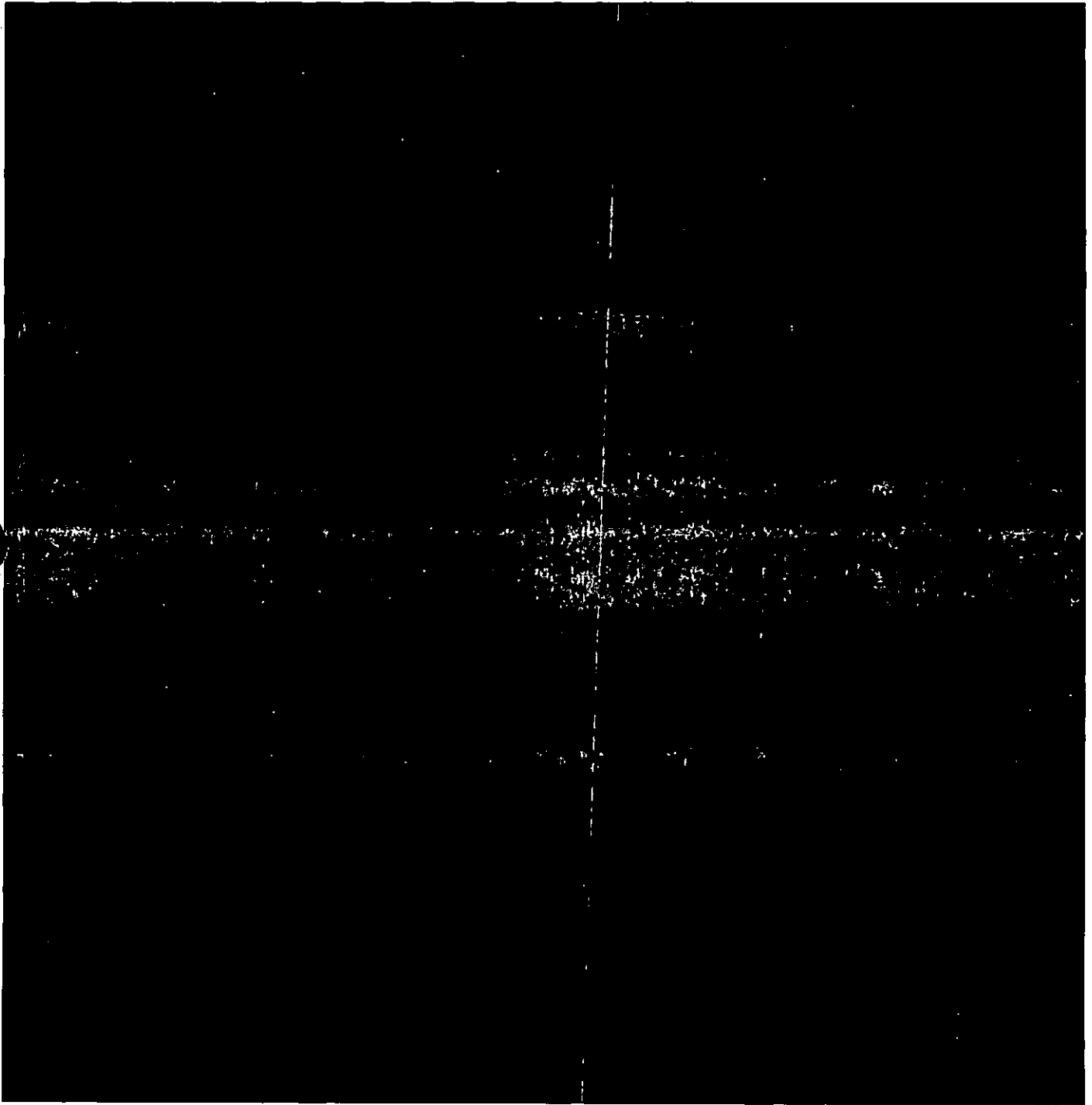
MANAGER

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\* IF A PERSON OTHER THAN THE CEO OF THIS ENTITY IS DESIGNATED TO EXECUTE THIS DOCUMENT, THE BOARD MUST BE PROVIDED WITH A RESOLUTION OR AN AFFIDAVIT, CERTIFIED AS TRUE AND CORRECT, IDENTIFYING THE INDIVIDUAL SO DESIGNATED, AUTHORIZING THAT INDIVIDUAL TO EXECUTE THE DOCUMENT ON BEHALF OF BOTH THE ENTITY AND THE CEO.

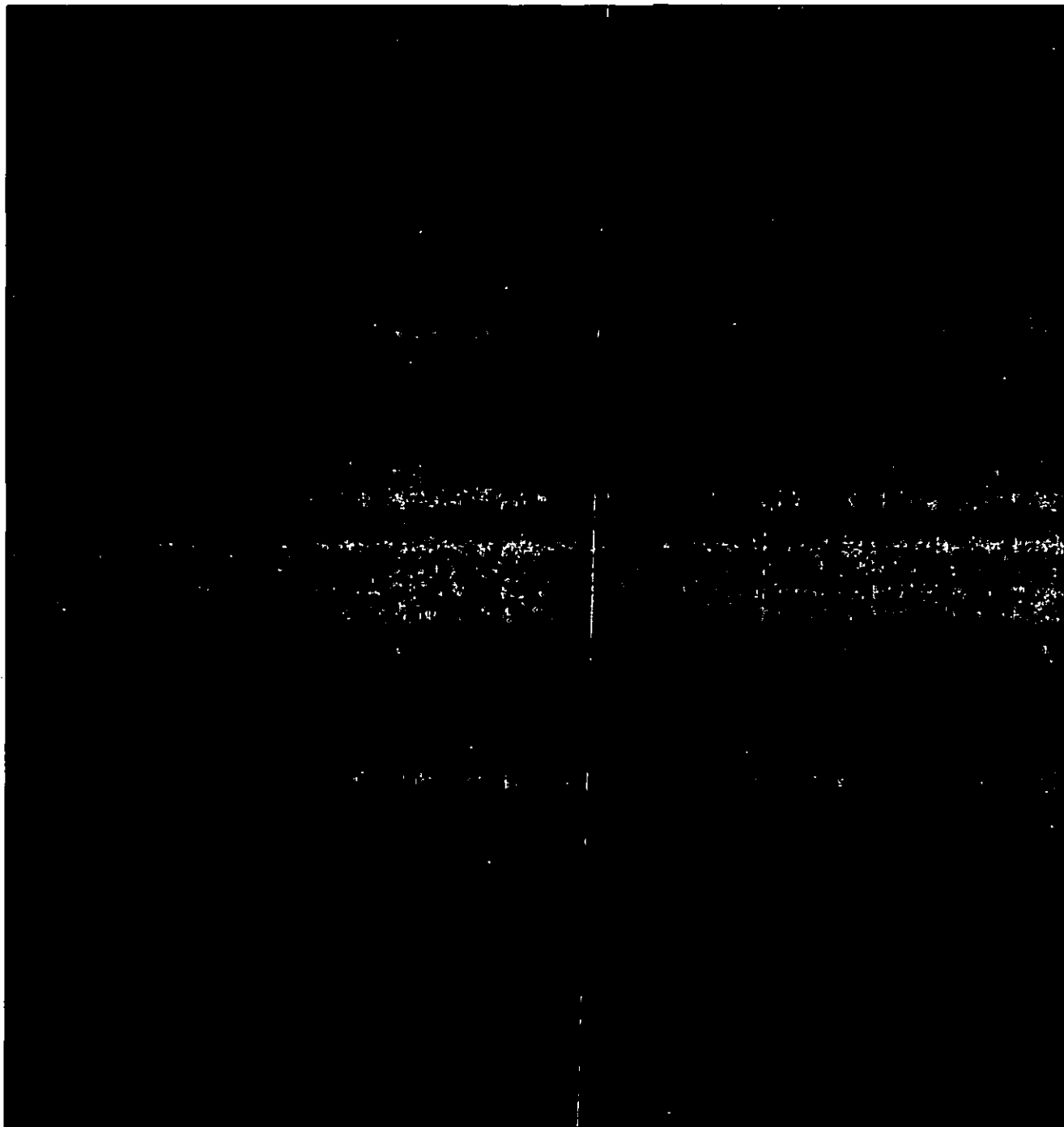


APPENDIX 1 – EXISTING LITIGATION.





APPENDIX 2 – FINANCIAL STATEMENT FOR LAST FISCAL YEAR

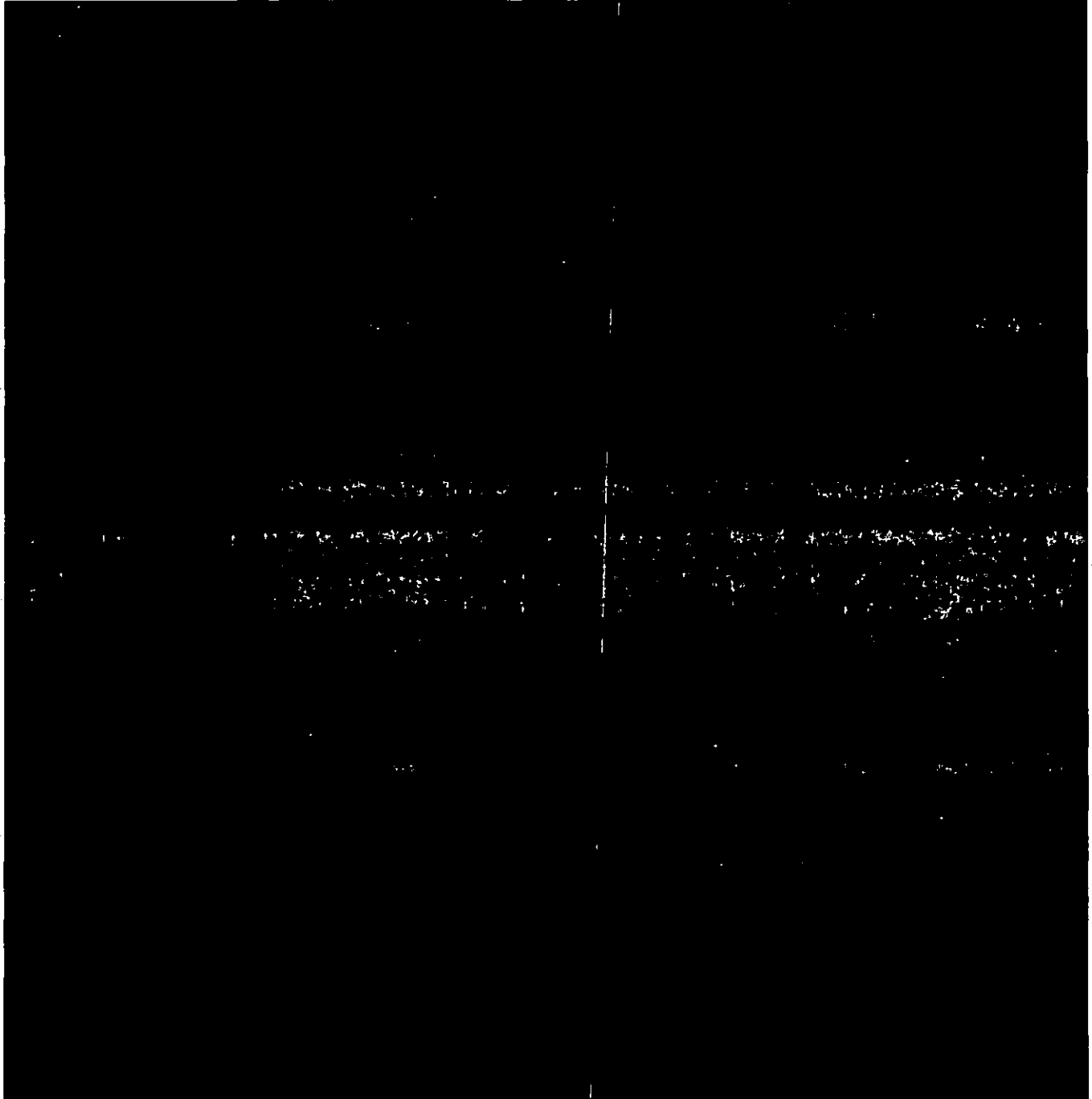


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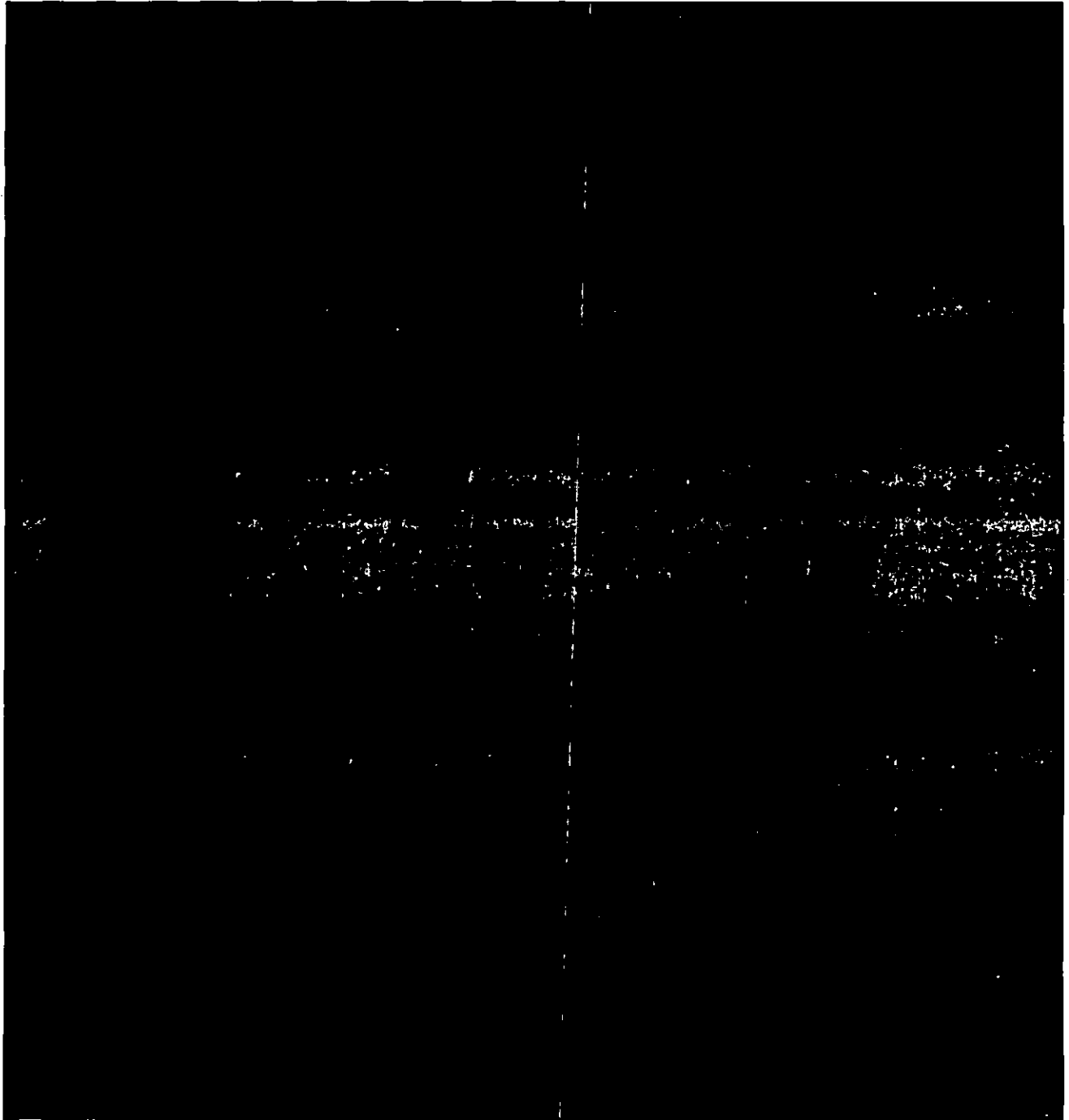
APPENDIX 3 – HISTORIC FINANCIAL STATEMENTS



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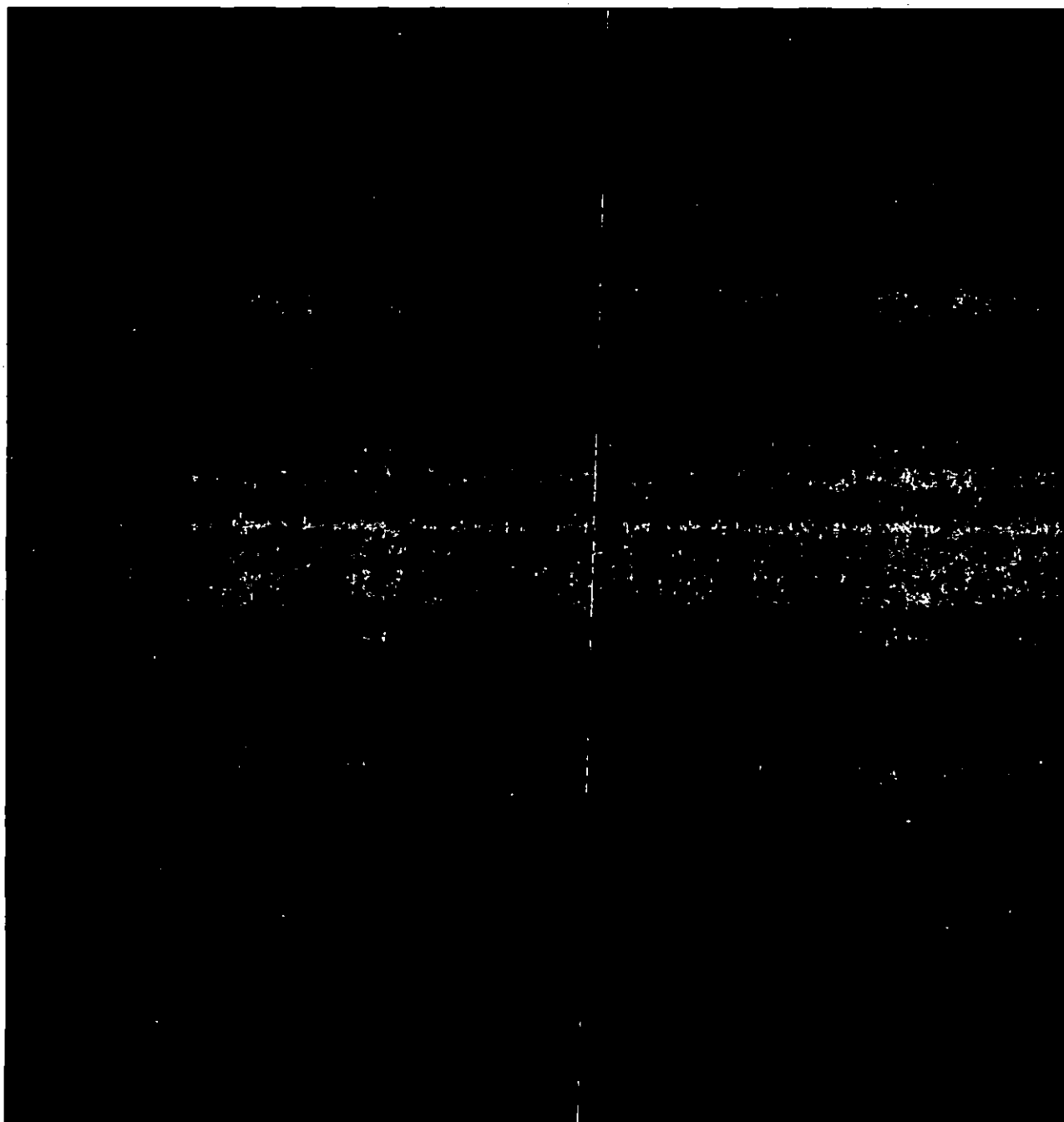


## APPENDIX 4 – ANNUAL REPORTS



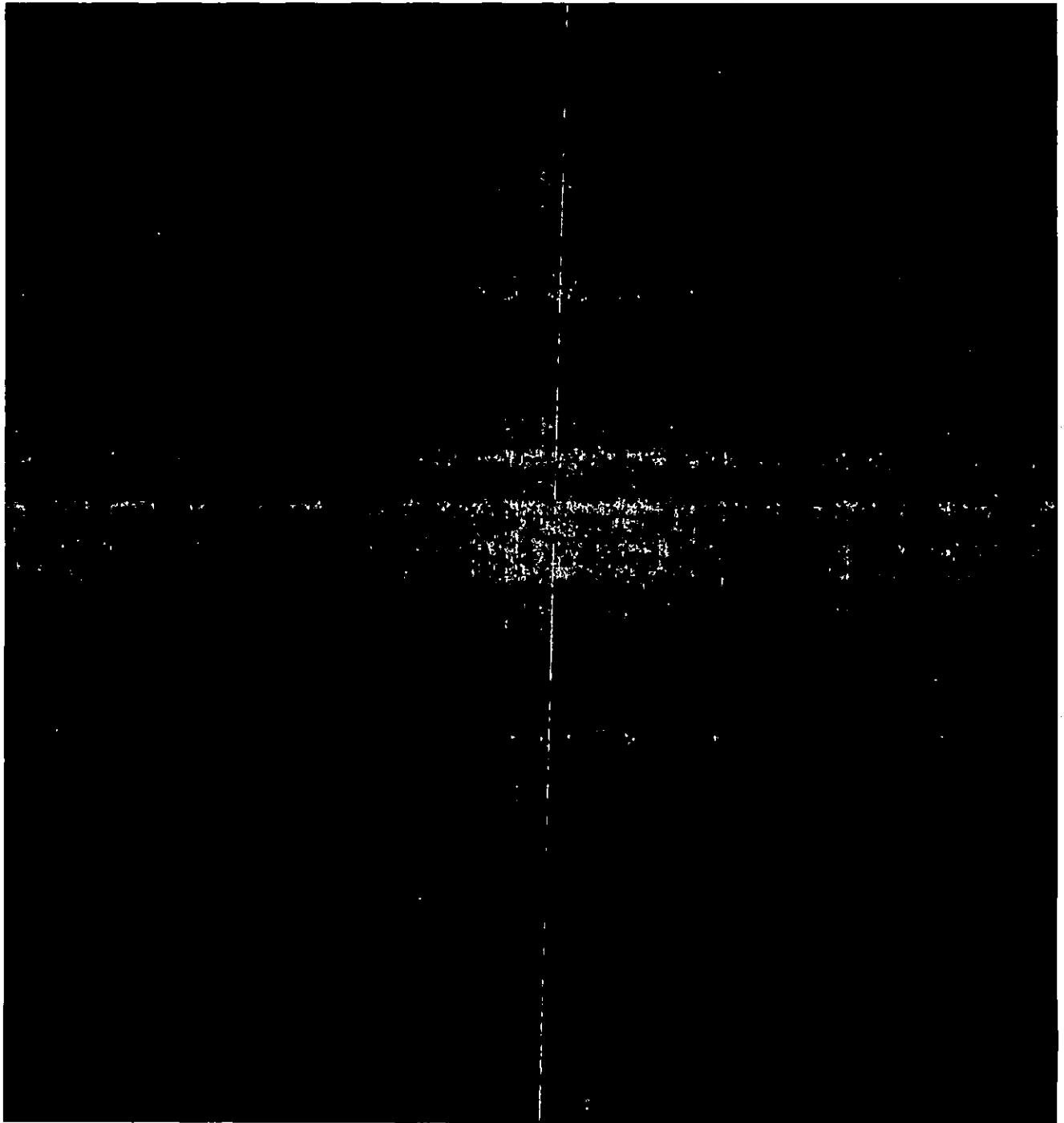


## APPENDIX 5 – ANNUAL REPORTS





APPENDIX 6 – LAST QUARTERLY FINANCIAL STATEMENT

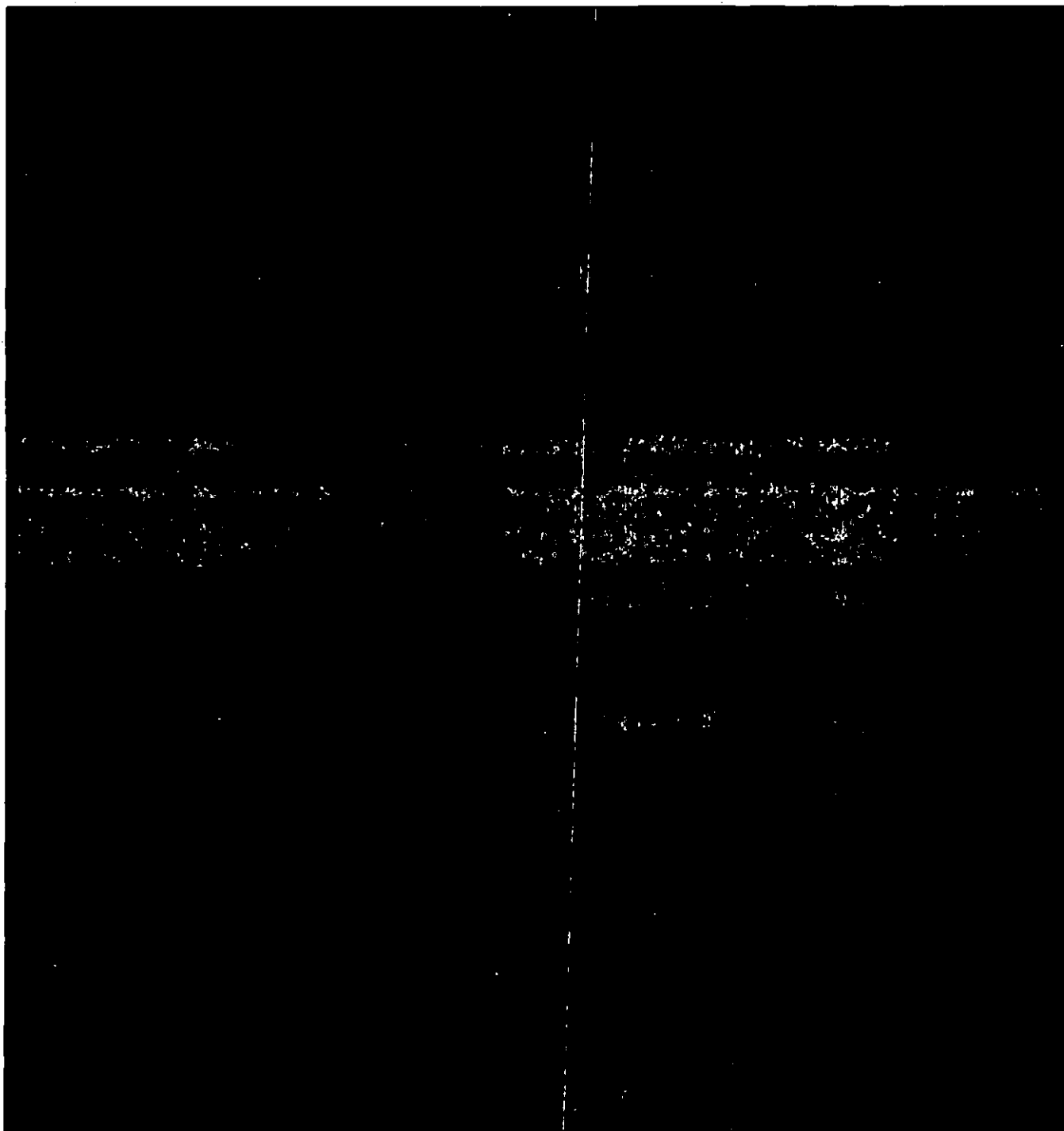


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APPENDIX 7 – INTERIM REPORTS



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APPENDIX 8 – PROXY STATEMENT

NONE (PRIVATELY HELD COMPANY)

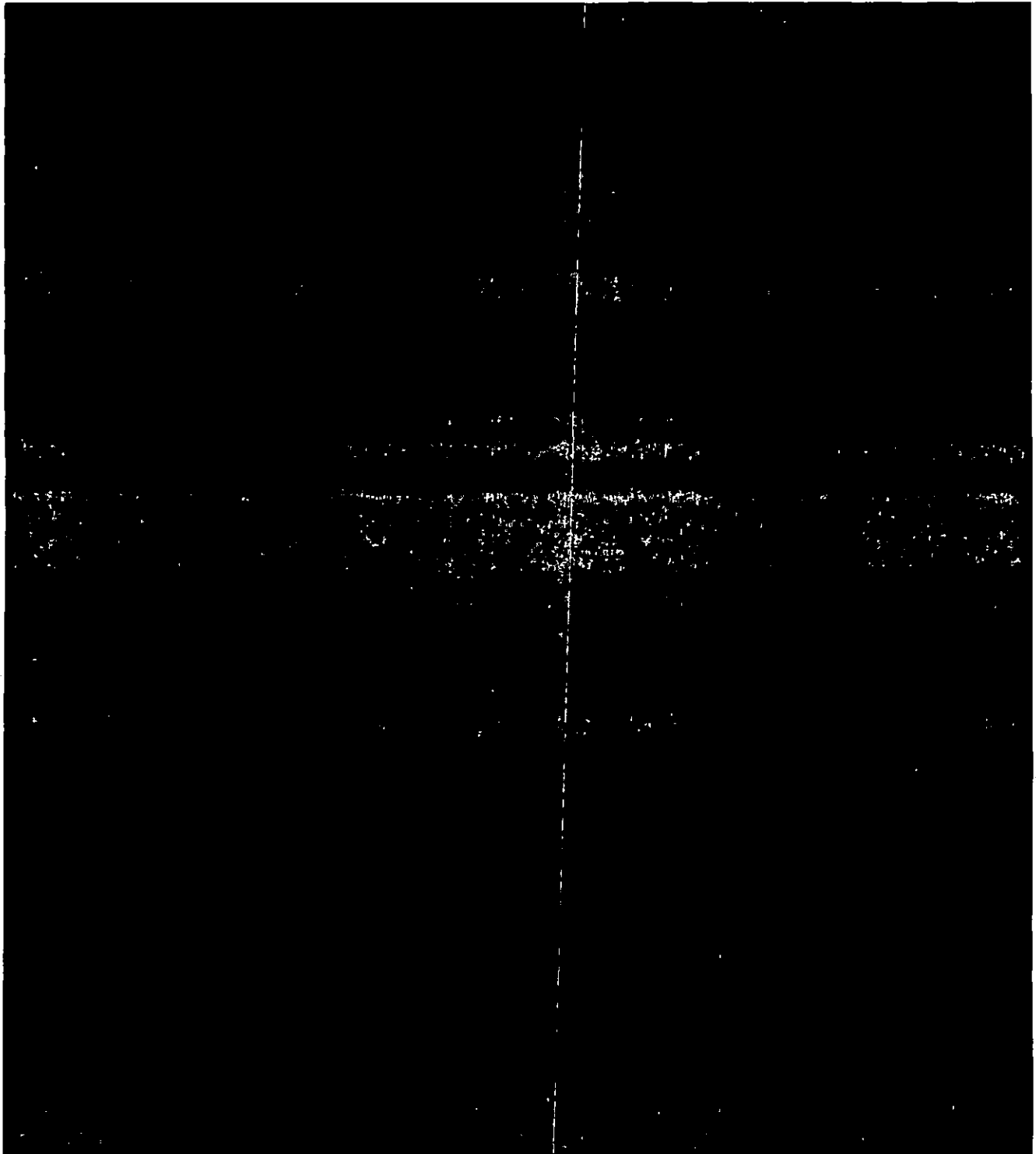


**APPENDIX 9 – REGISTRATION STATEMENTS**

**NONE (PRIVATELY HELD COMPANY)**



APPENDIX 10 – OTHER REPORTS FROM AUDITORS







APPENDIX 11 – ARTICLES OF INCORPORATION, CHARTER,  
BYLAWS, PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENTS  
AND ALL

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**ARTICLES OF ORGANIZATION  
OF  
THE CORDISH FAMILY II, LLC**

Filed for Record  
State of Alaska

APR 23 2001

Department of Community  
And Economic Development

Articles of Organization of The Cordish Family II, LLC, are to be filed with the Alaska Department of Commerce and Economic Development pursuant to AS 10.50.070.

1. The name of the limited liability company is "The Cordish Family II, LLC."
2. The purpose for which the limited liability company is organized is the conduct of any or all lawful affairs for which an LLC may be organized under AS 10.50.
3. The name of the initial registered agent and mailing address of the registered office of the limited liability company are as follows: Richard W. Hompesch II, 119 North Cushman, Suite 400, Fairbanks, Alaska 99701.
4. Management of the limited liability company is vested in one or more managers.

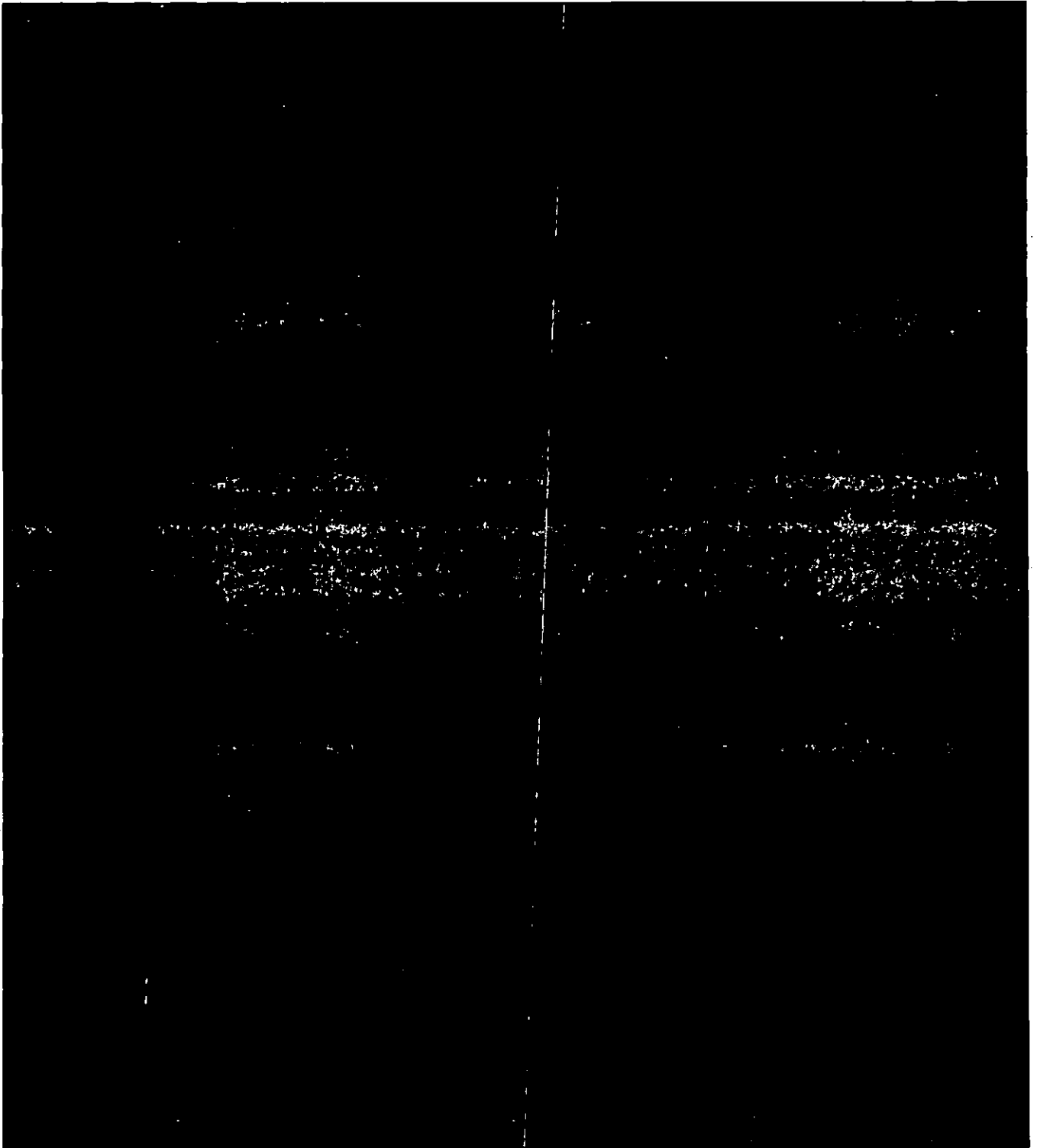
IN WITNESS WHEREOF, I have signed these Articles of Organization this 31<sup>st</sup> day of March, 2001.

  
Daryl J. Sidle, Authorized Person

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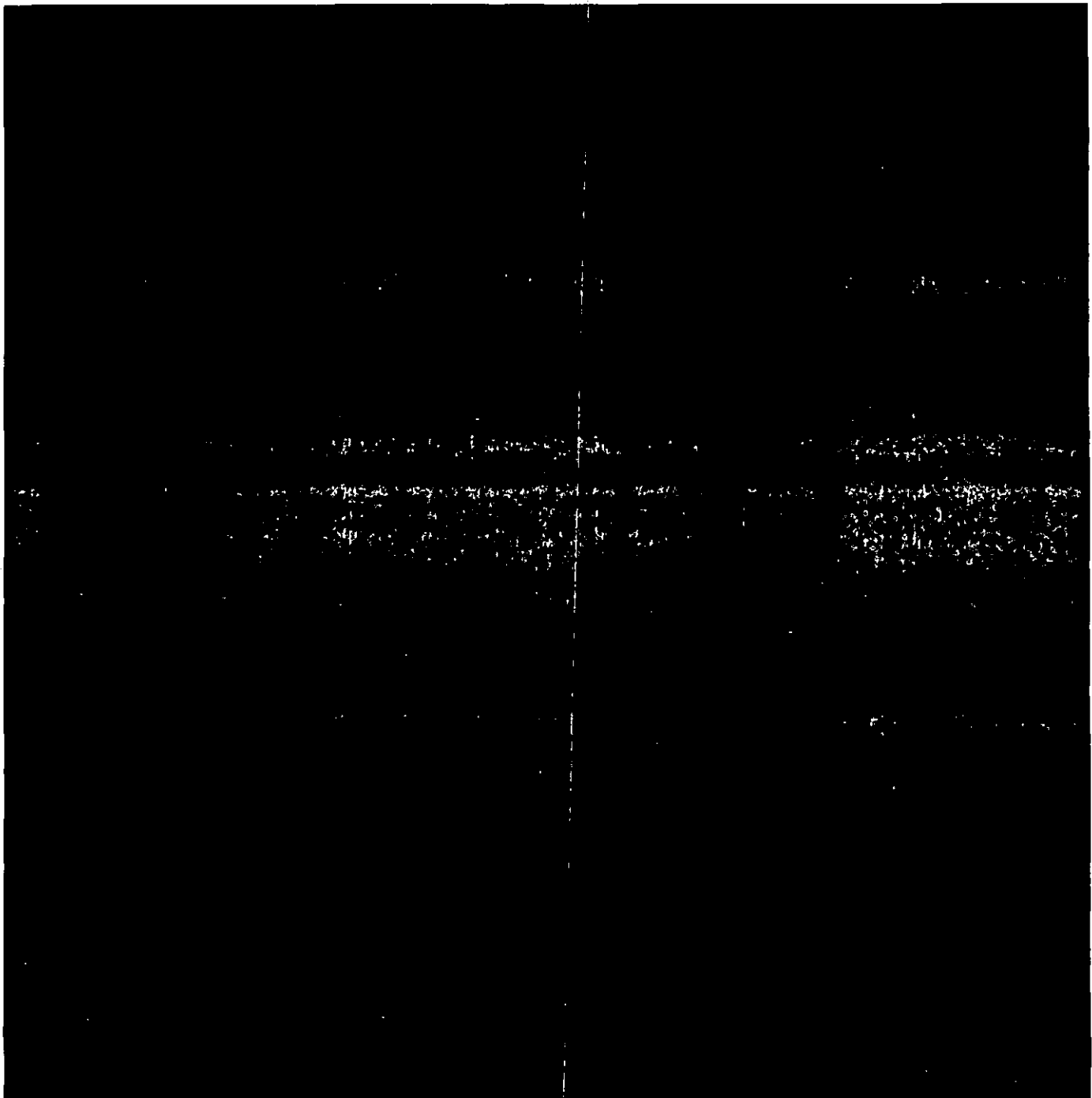
APPENDIX 12 – CURRENT OWNERSHIP TABLE



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APPENDIX 13 – COPIES OF 1120 FORMS, 941 FORMS AND ALL OTHER  
BUSINESS-RELATED TAX FORMS FILED WITH THE IRS IN THE LAST FIVE  
(5) YEARS.



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## APPENDIX 14 – COPIES OF 5500 FORMS







APPENDIX 15 – CRIMINAL HISTORY OF APPLICANT

NONE.